

Mid-Late Holocene Climate Change and Sandy Land Evolution in the Horqin Sandy Land: Post-print

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Abstract

The Horqin Sandy Land exhibits an ecologically fragile environment and is highly sensitive to climate change; elucidating the evolution patterns of the sandy land and its response to climate change holds significant theoretical guiding importance for future sandy land conservation and management. This study systematically reconstructed climate change and sandy land evolution in the Horqin Sandy Land since the mid-to-late Holocene using grain size, loss on ignition, and phytolith indicators from an aeolian sand-paleosol profile on the northeastern margin of the region. The results indicate that during the period of 5700–2400 cal a BP, the Horqin Sandy Land experienced two stabilization periods and two expansion periods. The first stabilization period (5700–4800 cal a BP) was characterized by a strong East Asian summer monsoon and a warm, humid climate, with most dunes in the sandy land being stabilized; the first expansion period (4800–3900 cal a BP) witnessed continuous weakening of the summer monsoon and a shift toward cold, dry conditions, leading to gradual expansion of the sandy land; the second stabilization period (3900–3300 cal a BP) featured a warm, humid climate with soil development and reduced sandy land area; the second expansion period (3300–2400 cal a BP) was marked by cold, dry conditions, renewed sandy land expansion, and widespread aeolian sand coverage of paleosol layers. In summary, climate change driven by the East Asian summer monsoon controlled the mid-to-late Holocene evolution of the Horqin Sandy Land, and the formulation of sandy land management policies must pay special attention to future climate change trends.

Full Text

Climate Change and Desert Evolution of the Horqin Sandy Land in the Mid-Late Holocene

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Abstract

The Horqin Sandy Land exhibits ecologically fragile characteristics and responds sensitively to climate change. Clarifying the evolutionary patterns of the sandy land and its response to climate variations holds significant theoretical importance for future conservation and management efforts. This study investigates an aeolian-paleosol profile on the northeastern margin of the Horqin Sandy Land, employing grain size analysis, loss on ignition, and phytolith indicators to systematically reconstruct climate change and sandy land evolution since the mid-late Holocene. The results reveal that between 5700 and 2400 cal a BP, the Horqin Sandy Land experienced two stabilization periods and two expansion phases. During 5700–4800 cal a BP, the East Asian summer monsoon was strong, creating warm and humid conditions that maintained predominantly fixed dunes. The first expansion phase occurred during 4800–3900 cal a BP, when the weakening summer monsoon led to increasingly cold and dry conditions, causing gradual sandy land expansion. The second stabilization period spanned 3900–3300 cal a BP, when warmer and more humid conditions promoted soil development and reduced sandy land area. The second expansion phase occurred during 3300–2400 cal a BP, when cold and dry conditions returned, leading to renewed sandy land expansion and widespread burial of paleosol layers by aeolian sand. In summary, climate change driven by the East Asian summer monsoon controlled the evolution of the Horqin Sandy Land during the mid-late Holocene, and sandy land management policies must pay particular attention to future climate change scenarios.

Key words: Horqin Sandy Land; climate change; desert evolution; phytolith analysis; grain-size analysis

1 Introduction

1.1 Study Area Overview

Deserts and sandy lands constitute important components of the natural environment in northern China. A series of sandy lands, including the Horqin, Hunshandake, and Mu Us, are distributed from northeast to southwest along the monsoon margin zone [Figure 1: see original paper]. These regions feature ecologically fragile environments that are highly sensitive to climate change and have accumulated alternating sequences of aeolian deposits and paleosols, making them ideal areas for paleoenvironmental research [1-3]. The expansion and contraction of sandy lands in monsoonal regions are closely related to the strength of the monsoon system [4-6]. Therefore, understanding the evolutionary processes of sandy lands and their relationship with climate change is crucial for predicting future climate scenarios, comprehending climate trends, and providing scientific support for effective desertification control and regional ecological protection, carrying significant economic and ecological implications.

The Horqin Sandy Land, covering an area of approximately 50,000 km², is China's largest sandy land. Located on the eastern margin of the agro-pastoral ecotone in northern China and adjacent to the agricultural region of Northeast China, it lies within the marginal zone of East Asian monsoon influence and is highly responsive to climate change [7-9]. Previous research has established a basic framework for Horqin Sandy Land evolution, but detailed resolution remains insufficient, particularly regarding the mid-late Holocene evolutionary processes and responses to climate change. This study aims to refine the understanding of climate change and sandy land evolution in the Horqin Sandy Land during the mid-late Holocene and further explore how aeolian activity responds to climate variations and human activities.

1.2 Sample Collection

The Haminmangha profile (43°58' 59" N, 122°13' 24" E) is located approximately 500 m east of the Haminmangha Heritage Park in Shebotu Town, Horqin Left Wing Middle Banner, Tongliao City, Inner Mongolia. The site is currently covered by artificially planted poplar forests, with herbaceous vegetation dominated by *Artemisia* species growing beneath the canopy. Field sampling collected 80 sediment samples at 5 cm intervals from a 400 cm profile, sealed in ziplock bags and numbered HM1–HM80. The profile consists primarily of grayish-yellow fine sand layers and paleosol layers, with its base at the same elevation as the Haminmangha archaeological site and more severe erosion at the top. To determine the chronology, six bulk sediment samples were selected for AMS¹⁴C dating at the Guangzhou Institute of Geochemistry .

1.3 Experimental Methods

Phytolith Extraction: Phytoliths were extracted using wet oxidation [28]. Dried samples (0.5 g) were placed in 50 mL centrifuge tubes, treated with 15 mL of 10% HCl to remove carbonates, washed to neutral pH with distilled water, then oxidized with concentrated nitric acid until organic matter was completely removed. After further washing, samples were treated with 10% HCl to dissolve fluorides and brought to neutral pH. Zinc bromide solution (specific gravity 2.35) was added for phytolith flotation. The final product was washed and prepared for slide mounting and identification. Phytolith counting was performed under a Motic microscope at 400× magnification, with each slide containing at least 300 identified phytoliths.

Grain Size Analysis: Following standard procedures [29], samples were pre-treated with 10% HCl to remove carbonates, washed to neutral pH, then treated with 10% H₂O₂ to remove organic matter. After ultrasonic dispersion with 0.5 mol · L⁻¹ sodium hexametaphosphate solution, samples were analyzed using a Mastersizer 2000 laser particle size analyzer. To minimize sampling errors, each sample was measured three times and averaged.

Loss on Ignition: Dried samples were weighed and combusted in a muffle furnace at 550°C for 4 hours to determine organic matter content from weight loss [30]. The residue was then heated at 950°C for 2 hours, with weight loss representing carbonate content [31].

2 Results

2.1 AMS ¹⁴C Chronological Framework

To establish a robust age-depth model, we utilized the Bacon 2.5.5 package in RStudio 1.4.1 to process six AMS¹⁴C dates from the Haminmangha profile [Figure 2: see original paper]. The model indicates continuous deposition from 5688 to 2171 cal a BP. Considering potential modern contamination, the top 30 cm was excluded, focusing discussion on the 5700–2400 cal a BP interval (400–30 cm depth).

2.2 Grain Size and Loss on Ignition Characteristics

The profile is dominated by coarse particles, with sand (>63 μm) comprising 74.21%–94.54% (mean 87.08%). Silt (4–63 μm) accounts for 5.46%–25.46% (mean 12.90%), while clay (<4 μm) is minimal (average 0.02%). End-member analysis using the Weibull function distribution in Matlab 2022a identified three grain size components [Figure 3: see original paper]. EM1 (39.25–120.08 μm, mean 33.80%) represents suspended load from distal sources, likely from the Songnen Sandy Land upwind. EM2 (116.12–326.13 μm) and EM3 (151.8–530.61 μm) represent saltation loads from local sources, primarily from the Horqin

Sandy Land itself and nearby river floodplains. EM2 and EM3 were combined due to their similar characteristics, with the combined EM2+3 fraction comprising 52.59%–80.28% (mean 66.20%) of the total.

The profile was divided into three zones based on cluster analysis [Figure 4: see original paper]:

Zone 1 (400–260 cm, 5688–3950 cal a BP): EM2+3 content is relatively high (mean 63.19%), with organic matter content also elevated (mean 1.39%), indicating finer sediments and better soil development. Carbonate content is low (mean 0.69%), likely due to enhanced leaching under wetter conditions.

Zone 2 (260–140 cm, 3950–3212 cal a BP): EM2+3 content decreases (mean 68.06%), organic matter declines (mean 1.00%), and carbonates remain low (mean 0.38%), indicating coarser sediments and weaker pedogenesis.

Zone 3 (140–30 cm, 3212–2420 cal a BP): EM2+3 content increases again (mean 70.29%), reaching maximum values, while organic matter drops to its lowest level (mean 0.38%), indicating intensified aeolian activity and sandy land expansion.

2.3 Phytolith Assemblage Characteristics

A total of 24,000 phytoliths were identified from 80 samples (average 300 per sample). Following the ICPN 2.0 classification system [35], 29 phytolith types were identified, including saddle, hat, dumbbell, tooth, rod, point, fan, square, flat, and hair forms, plus sponge spicules. Rod and point types dominate (23%–48% and 14%–36% respectively), followed by hat types (2%–17%).

Based on ordered cluster analysis, the profile was divided into three sub-zones [Figure 5: see original paper]:

Sub-zone 1 (400–260 cm, 5688–3950 cal a BP): Cold-indicating smooth rod and ordinary point types dominate (21.31% and 18.76% respectively), with low phytolith concentrations (mean 57.58 grains · g⁻¹). The climate index ($I_c = C3/[C3+C4]$) [36] is relatively low (mean 49.44%), indicating warmer conditions in the lower part (400–340 cm, 5688–4752 cal a BP) that gradually cool upward.

Sub-zone 2 (260–140 cm, 3950–3212 cal a BP): Cold-indicating types increase (24.08% and 21.84%), phytolith concentrations decrease (mean 67.13 grains · g⁻¹), and the climate index rises (mean 64.93%), indicating continued cooling.

Sub-zone 3 (140–30 cm, 3212–2420 cal a BP): Cold-indicating types remain dominant, the climate index reaches its highest values (mean 69.64%), and phytolith concentrations decline further, indicating the coldest and driest conditions.

3 Discussion

3.1 Mid-Late Holocene Paleoclimate Reconstruction in the Horqin Sandy Land

Located at the margin of East Asian monsoon influence, the study area's climate is largely controlled by summer monsoon intensity. Phytolith, grain size, and carbonate records show good overall consistency, reflecting regional climate conditions [Figure 6: see original paper]. Between 5700 and 2400 cal a BP, the phytolith climate index shows a gradual upward trend while carbonate content decreases, consistent with declining solar radiation and summer monsoon strength [37].

5700–4800 cal a BP: Fine grain sizes with clay fractions at minimum values, low climate indices, and high carbonate content indicate warm and humid conditions corresponding to the Holocene Climatic Optimum. Pollen records from Daihai Lake [39] and Sihailongwan Maar Lake [38] in the same region also show warm and humid conditions, confirming that the enhanced East Asian summer monsoon extended over a larger area.

4800–4000 cal a BP: Grain sizes increase to maximum values, the climate index rises, and carbonate content decreases significantly, indicating a transition to colder and drier conditions as the summer monsoon weakened. Regional records show synchronous climate deterioration [39, 40]. Around 4.2 ka, the cold and dry conditions peaked, coinciding with widespread climate deterioration recorded in Jingbo Lake [40] and Dali Lake [41] pollen records.

4000–3300 cal a BP: Grain sizes decrease, carbonate content briefly increases, and the climate index declines, suggesting a temporary return to warmer and more humid conditions, though overall conditions remained relatively cold and dry. This warming phase is supported by charcoal evidence from the West Liao River Basin [42] and pollen records from Sihailongwan Maar Lake [38] showing increased precipitation around 3500 cal a BP.

3300–2400 cal a BP: Grain sizes coarsen again, the climate index rises, and carbonate content reaches minimum values, indicating a return to cold and dry conditions. This phase represents the most arid period of the mid-late Holocene.

3.2 Mid-Late Holocene Evolution of the Horqin Sandy Land

Sandy land evolution primarily involves processes of fixation/contraction and activation/expansion, with aeolian sand layers and paleosols serving as key indicators [43]. Aeolian sand layers contain high coarse particle content, strong aeolian activity, sparse vegetation, weak pedogenesis, and low organic matter. Paleosol development periods feature higher fine particle content, stronger pedogenesis, denser vegetation, and higher organic matter content. Based on grain size end-member analysis and organic matter content, we reconstructed the sandy land evolution history [Figure 7: see original paper].

First Stabilization Period (5700–4800 cal a BP): The Horqin Sandy Land was predominantly fixed, with low coarse particle content, high organic matter, weak aeolian activity, and well-developed paleosols. The warm and humid climate of the Holocene Climatic Optimum favored dune stabilization. Contemporary records from the Mu Us [44] and Hunshandake [45] sandy lands also show dune fixation. During this period, the Hongshan Culture covered most of the Horqin Sandy Land, indicating favorable conditions for early agriculture [46]. The Hamimangha inhabitants lived during this phase, benefiting from the relatively superior natural environment [47].

First Expansion Period (4800–4000 cal a BP): The sandy land gradually expanded as coarse particle content increased and organic matter decreased, reflecting intensifying aeolian activity under a weakening summer monsoon. The climate transition from warm-humid to cold-dry conditions slowed paleosol development. Pollen studies from the Xar Moron River Basin show climate cooling during 5000–4000 cal a BP, with a corresponding sandy land activation event [48]. The deteriorating conditions led to agricultural decline and contributed to the collapse of the Hongshan Culture [49].

Second Stabilization Period (4000–3300 cal a BP): The sandy land contracted again as coarse particle content decreased and organic matter increased. Warmer and more humid conditions promoted soil development and reduced sandy land area. The Xiajiadian Lower Culture rapidly developed in the West Liao River Basin during this period, benefiting from climate conditions favorable for agriculture [50]. While the eastern Horqin Sandy Land developed paleosols on dune surfaces under humid conditions, the western Hunshandake Sandy Land remained under dry conditions with limited weathering [45].

Second Expansion Period (3300–2400 cal a BP): The Horqin Sandy Land expanded again, with coarse particle content reaching maximum values and organic matter dropping to minimum levels. Paleosol layers were widely buried by aeolian sand under the coldest and driest conditions of the period. This phase coincides with the end of the Holocene Climatic Optimum around 3000 cal a BP, when most sandy lands in northern China became activated [44, 45]. The transition to pastoralism in the Xiajiadian Upper Culture reflects adaptation to deteriorating conditions [51].

The analysis demonstrates that Horqin Sandy Land dynamics are synchronized with climate fluctuations: warm-humid periods correspond to weak aeolian activity and sandy land fixation, while cold-dry periods trigger activation and expansion. Climate change is thus the primary driver of large-scale aeolian activity in the Horqin Sandy Land. Spatially, the Horqin Sandy Land shows longer stabilization periods than the Hunshandake and Mu Us sandy lands, likely due to its more easterly location and stronger monsoon influence.

4 Conclusions

- 1) Multi-proxy analysis of the Haminmangha profile reveals that mid-late Holocene climate in the Horqin Sandy Land shifted from warm-humid to cold-dry conditions, with two warm-humid and two cold-dry sub-periods. This gradual drying trend aligns with decreasing solar radiation since the mid-Holocene, indicating that climate change in the region is primarily controlled by East Asian monsoon dynamics driven by solar radiation variations.
- 2) Corresponding to climate changes, the Horqin Sandy Land experienced two stabilization periods (5700–4800 cal a BP and 4000–3300 cal a BP) and two expansion phases (4800–4000 cal a BP and 3300–2400 cal a BP). The large-scale fixation during 5700–4800 cal a BP was closely related to a strong East Asian summer monsoon, while the expansion during 4800–4000 cal a BP corresponded to monsoon weakening. The temporary warming during 4000–3300 cal a BP promoted sandy land fixation, and the subsequent expansion during 3300–2400 cal a BP coincided with the coldest and driest conditions of the period.
- 3) The evolution of the Horqin Sandy Land during the mid-late Holocene was primarily constrained by climate changes controlled by the East Asian summer monsoon. These findings highlight the need for climate-sensitive management policies for sandy land conservation.

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