

## Response of ecosystem carbon storage to land use change from 1985 to 2050 in the Ningxia Section of Yellow River Basin, China Postprint

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### Abstract

Regional sustainable development necessitates a holistic understanding of spatiotemporal variations in ecosystem carbon storage (ECS), particularly in ecologically sensitive areas with arid and semi-arid climate. In this study, we calculated the ECS in the Ningxia Section of Yellow River Basin, China from 1985 to 2020 using the Integrated Valuation of Ecosystem Services and Trade-offs (InVEST) model based on land use data. We further predicted the spatial distribution of ECS in 2050 under four land use scenarios: natural development scenario (NDS), ecological protection scenario (EPS), cultivated land protection scenario (CPS), and urban development scenario (UDS) using the patch-generating land use simulation (PLUS) model, and quantified the influences of natural and human factors on the spatial differentiation of ECS using the geographical detector (Geodetector). Results showed that the total ECS of the study area initially increased from 1985 until reaching a peak at  $402.36 \times 10^6$  t in 2010, followed by a decreasing trend to 2050. The spatial distribution of ECS was characterized by high values in the eastern and southern parts of the study area, and low values in the western and northern parts. Between 1985 and 2020, land use changes occurred mainly through the expansion of cultivated land, woodland, and construction land at the expense of unused land. The total ECS in 2050 under different land use scenarios (ranked as  $EPS > CPS > NDS > UDS$ ) would be lower than that in 2020. Nighttime light was the largest contributor to the spatial differentiation of ECS, with soil type and annual mean temperature being the major natural driving factors. Findings of this study could provide guidance on the ecological construction and high-quality development in arid and semi-arid areas.

## Full Text

### Preamble

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### **Response of Ecosystem Carbon Storage to Land Use Change from 1985 to 2050 in the Ningxia Section of Yellow River Basin, China**

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## Abstract

Regional sustainable development necessitates a holistic understanding of spatiotemporal variations in ecosystem carbon storage (ECS), particularly in ecologically sensitive areas with arid and semi-arid climates. In this study, we calculated ECS in the Ningxia Section of Yellow River Basin, China from 1985 to 2020 using the Integrated Valuation of Ecosystem Services and Tradeoffs (INVEST) model based on land use data. We further predicted the spatial distribution of ECS in 2050 under four land use scenarios—natural development scenario (NDS), ecological protection scenario (EPS), cultivated land protection scenario (CPS), and urban development scenario (UDS)—using the patch-generating land use simulation (PLUS) model, and quantified the influences of natural and human factors on the spatial differentiation of ECS using the geographical detector (Geodetector). Results showed that the total ECS of the study area initially increased from 1985 until reaching a peak at  $402.36 \times 10^6$  t in 2010, followed by a decreasing trend to 2050. The spatial distribution of ECS was characterized by high values in the eastern and southern parts of the study area and low values in the western and northern parts. Between 1985 and 2020, land use changes occurred mainly through the expansion of cultivated land, woodland, and construction land at the expense of unused land. The total ECS in 2050 under different land use scenarios (ranked as  $EPS > CPS > NDS > UDS$ ) would be lower than that in 2020. Nighttime light was the largest contributor to the spatial differentiation of ECS, with soil type and annual mean temperature

being the major natural driving factors. Findings of this study could provide guidance on ecological construction and high-quality development in arid and semi-arid areas.

**Keywords:** carbon storage; land use change; nighttime light; Integrated Valuation of Ecosystem Services and Tradeoffs (InVEST) model; patch-generating land use simulation (PLUS) model; geographical detector (Geodetector); Yellow River Basin

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## 1 Introduction

Ecosystem carbon storage (ECS), defined as the total amount of carbon stored in terrestrial ecosystems [?], is a crucial indicator of ecosystem functions. Since ECS is directly linked to ecosystem services (e.g., climate regulation) and productivity [?, ?], enhancing carbon sequestration in terrestrial ecosystems is an essential strategy to increase the regional domestic product [?]. Quantitative research on ECS could contribute to the sustainable management of terrestrial ecosystems and rational use of natural resources [?]. The Integrated Valuation of Ecosystem Services and Tradeoffs (InVEST) model provides a useful tool for monitoring and assessing ECS, given its low data requirements, fast computation speed, and high accuracy [?, ?].

Land use patterns are the most pervasive and intuitive depiction of Earth's surface systems [?]. Land use scenario simulation, the cornerstone of research on ECS dynamics, is vital for ecosystem service evaluation [?]. Exploring future land use change and its impacts on ECS can assist decision-makers in developing rational ecological conservation plans. Simulation of land use change under multiple scenarios has implications for the optimization of low-carbon development. However, research on evaluating the impacts of land use change on ECS mostly used national carbon density data directly, which resulted in low estimation accuracy [?]; further, research that predicts future ECS under multiple land use scenarios is still insufficient [?, ?].

In recent years, several generations of prediction models have been employed in land use scenario simulations [?, ?]. Many researchers have applied different land use simulation models to predict land use change [?, ?, ?]. These models are exemplified by the slope, land use, exclusion, urban extent, transportation, and hillshade (SLEUTH) model [?], the conversion of land use and its effects at small regional extent (CLUE-S) model [?], and the future land use simulation (FLUS) model [?]. Cellular automata (CA) models can be used

to simulate complex land use change [?], but most CA models focus too much on the improvement of simulation techniques and the correction of transformation rules [?]. Consequently, these models play a limited role in exploring the causes of land use change [?] and lack the ability to simulate patch-level land use and landscape evolution [?]. The patch-generating land use simulation (PLUS) model integrates a rule mining framework based on land expansion analysis and a CA model according to multi-type random seed mechanisms [?]. This model allows researchers to identify the factors driving land expansion, predict the dynamic evolution of landscapes, and find the potential rules of land use change [?]. Compared with existing CA models, the PLUS model can simulate more similar landscape patterns with higher accuracy [?], which can meet the requirements of future research on land use scenario simulation.

Approximately one third of the Earth's land area comprises arid and semi-arid areas [?]. As a major part of terrestrial ecosystems, arid and semi-arid areas play an integral role in the global carbon cycle [?]. Owing to their fragile ecological environments, the land area of arid and semi-arid areas is likely to expand in the wake of global climate change [?]. Land use change in arid and semi-arid areas inevitably imposes a profound influence on the global carbon balance [?]. The Ningxia Section of Yellow River Basin (NYRB) is a typical region dividing the arid and semi-arid climate zones in China [?]. It is an ecological barrier and corridor between the Chinese Loess Plateau and North China Plain. In the context of global warming and population growth, intensified land development and utilization activities in the NYRB have resulted in dramatic changes in land use and vegetation cover [?]. All natural and human factors may interact to influence the carbon cycle and balance, posing great challenges to the ecological environment of the NYRB. Given its unique geographical location and high ecological sensitivity, timely and accurate assessment of the impacts of land use change on ECS in the NYRB is crucial for regional ecological construction and high-quality development. It has been found that human activities were the key factors directly driving the spatiotemporal dynamics of land use in the NYRB during 1985–2010 [?]. Although several studies have analyzed the land use change and associated dynamics of ECS in the NYRB [?, ?, ?], no simulation of future land use change has been conducted in this region under multiple scenarios.

By coupling the InVEST and PLUS models, it is possible to simultaneously characterize the past evolution of regional ECS and predict its future trend under multiple land use scenarios. In this study, we calculated ECS using the InVEST model and predicted land use patterns in the NYRB under multiple future scenarios using the PLUS model with historical data. We further evaluated the impacts of land use change on ECS during 1985–2020 and in 2050. The two models (InVEST and PLUS) were integrated to answer the following three questions: (1) How did the ECS change in the NYRB from 1985 to 2020? (2) How did land use change impact regional ECS during 1985–2020? (3) Which scenario (natural development or ecological protection) is favorable for improving future ECS in the river basin? This study is expected to provide useful information

for achieving regional dual-carbon targets and formulating dual-carbon policies in arid and semi-arid areas. The results could provide guidance on ecological protection in arid and semi-arid areas.

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## 2.1 Study Area

The NYRB (35°49'25" N, 104°17'04" E; 956–3537 m; Fig. 1 [Figure 1: see original paper]) consists of the main stream of Yellow River and its tributaries (e.g., Qingshui and Kushui rivers), accounting for nearly 80% of the total area of Ningxia Hui Autonomous Region, China. Its topography is complex and diverse, with the loess hilly area, mountain-plain area, and Ningxia Plain distributed from south to north, forming a three-stage topographic terrace. The remaining area of the NYRB is interspersed with deserts, plains, hills, and mountains. There are several nature reserves in the NYRB, such as the Helan Mountain National Nature Reserve, Baijitan National Nature Reserve, Luoshan National Nature Reserve, and Shapotou Nature Reserve. The mean annual temperature here is between 11.5°C and 14.0°C. The mean annual precipitation is 200–800 mm with a non-uniform distribution, which is typical of a continental climate. The major land use types include cultivated land, woodland, grassland, water body, unused land, and construction land according to the utilization attributes of land resources. Among them, grassland can be subdivided into meadow grassland, typical grassland, and desert grassland according to the water and heat conditions. The soil types are mainly classified as Calcisol, Cambisol, Arenosol, Anthrosol, Fluvisol, Chernozem, Regosol, and Solonchak according to soil properties defined in terms of diagnostic horizons and characteristics.

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## 2.2 Data Sources

The historical data of land use and factors driving land use change in the study area were acquired from multiple sources (Table 1). Land use data (1985, 1990, 1995, 2000, 2005, 2010, 2015, and 2020) were reclassified into six primary categories: cultivated land, woodland, grassland, water body, unused land, and construction land. Based on the study background and previous research [?, ?], we selected 13 natural and human factors (Table 1), which were all used in the PLUS model. These data were uniformly preprocessed by projection transformation, clipping, and resampling in ArcGIS 10.2. Further preprocessing of transportation accessibility factors was performed using the Euclidean distance analysis tool in ArcGIS 10.2. To ensure data consistency, we uniformly resampled all data to the spatial resolution of 1 km × 1 km and adopted WGS\_1984\_UTM\_Zone\_48N as the projected coordinate system.

### 3.1 Assessment of ECS

The carbon module of InVEST v3.11.0 assesses ECS using land use as the unit of measurement for the land surface. We applied this module to assess the variations of ECS in the NYRB. We estimated the total ECS of the study area by multiplying the area of each land use type with its corresponding average carbon density based on Equation 1 [?]:

$$C_{total} = \sum_{i=1}^n A_i \times (C_{i\_above} + C_{i\_below} + C_{i\_soil} + C_{i\_dead})$$

where  $C_{total}$  is the total ecosystem carbon storage (t);  $n$  is the number of land use types ( $n = 6$ );  $A_i$  is the area of land use type  $i$  ( $\text{hm}^2$ ); and  $C_{i\_above}$ ,  $C_{i\_below}$ ,  $C_{i\_soil}$ , and  $C_{i\_dead}$  are the aboveground, belowground, soil, and deadwood carbon densities ( $\text{t}/\text{hm}^2$ ), respectively.

The InVEST model requires input of carbon density data for different land use types. In this study, carbon density data were obtained from the dataset of carbon density in Chinese terrestrial ecosystems (2010s) at the National Ecosystem Science Data Center (<http://www.cern.ac.cn>) [?] and previous studies [?, ?, ?, ?, ?]. We corrected these data to fit the actual data in the NYRB based on climatic conditions (Table 2) [?, ?], and the correction equations are given by Equations 2-4:

$$C_{SP} = 0.0054 \times MAP \quad (R^2 = 0.70)$$

$$C_{BP} = 6.798 \times e^{0.0005 \times MAP} \quad (R^2 = 0.11)$$

$$C_{BT} = 28 \times MAT + 398 \quad (R^2 = 0.47)$$

where  $C_{SP}$  is the soil carbon density ( $\text{Mg}/\text{hm}^2$ );  $MAP$  is the mean annual precipitation (mm);  $C_{BP}$  and  $C_{BT}$  are the biomass carbon densities ( $\text{Mg}/\text{hm}^2$ ) based on mean annual precipitation and mean annual temperature, respectively;  $MAT$  is the mean annual temperature ( $^{\circ}\text{C}$ ); and  $R^2$  is the square of the correlation coefficient. The final carbon intensity correction equations are expressed by Equations 5-8 [?, ?]:

$$K_{BP} = \frac{C'_{BP}}{C''_{BP}}$$

$$K_{BT} = \frac{C'_{BT}}{C''_{BT}}$$
$$K_B = \frac{K_{BP} + K_{BT}}{2}$$
$$K_S = \frac{C'_{SP}}{C''_{SP}}$$

where  $K_{BP}$  and  $K_{BT}$  are the correction coefficients for the biomass carbon density with mean annual precipitation and mean annual temperature, respectively;  $C'_{BP}$  and  $C'_{BT}$  are the biomass carbon densities ( $\text{Mg}/\text{hm}^2$ ) obtained from the mean annual precipitation of the NYRB and Qihe River Basin [?];  $C''_{BP}$  and  $C''_{BT}$  are the biomass carbon densities ( $\text{Mg}/\text{hm}^2$ ) based on the mean annual temperature of the NYRB and Qihe River Basin, respectively;  $K_B$  is the correction coefficient for biomass carbon density;  $K_S$  is the correction coefficient for soil carbon density; and  $C'_{SP}$  and  $C''_{SP}$  are the soil carbon densities ( $\text{Mg}/\text{hm}^2$ ) obtained from the mean annual precipitation of the NYRB and Qihe River Basin, respectively. The reference data on carbon density were collected to obtain the carbon density data for different land use types (Table 2).

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### 3.2 Future Land Use Simulation

The PLUS model (HPSCIL@CUG, Wuhan, China) is a rule mining framework based on the land expansion analysis strategy coupled with a CA model using multiple random seeds [?]. This model can adapt to multiple types of land use patch adjustments in a flexible way, achieving greater simulation accuracy and landscape similarity than other models. In this study, we used PLUS v1.3.5 to simulate future land use change under four different scenarios. More details (e.g., neighborhood weight parameter (Table S1) and land use transfer cost matrix (Table S2)) are available in the supplementary materials.

The detailed description of future land use scenario settings is as follows. First, the natural development scenario (NDS) represents a continuance of the historical trend of land use change. We used the Markov chain [?] to simulate the area of each land use type in 2050 based on the land use transfer probability matrix (1990–2020) in the NYRB. The neighborhood weight parameter and transfer cost matrix of land use types in 2050 remained unchanged and were consistent with those during 1990–2020. Second, the ecological protection scenario (EPS) is based on the Land Spatial Planning of Ningxia Hui Autonomous Region (2021–2035) that aims to strengthen the protection of ecological land (e.g., woodland, grassland, and water body). Under this scenario, the probability of converting ecological land into construction land is reduced by 50%, and the probability of converting other land use types into ecological land is increased by 30%, with

limited expansion of unused land and construction land. The areas of various land use types in 2050 under this scenario were predicted following the same method as those under the NDS, except that different neighborhood weight parameters were used. Third, the urban development scenario (UDS) focuses on urban development and construction, which promotes the conversion to construction land and restricts the conversion of construction land into other land use types. Therefore, the conversion probability of cultivated land, woodland, grassland, and unused land into construction land is increased by 20%, and the conversion probability of construction land into woodland, grassland, water body, and unused land is reduced by 30% to predict the land use scenario in the NYRB in 2050. Fourth, the cultivated land protection scenario (CPS) reduces the conversion probability of cultivated land into other land use types by 50%, with other land use types still maintaining their natural development trend.

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### 3.3 Driving Factor Analysis

The geographical detector (Geodetector) is a statistical tool to explore the spatial differentiation in geographical phenomena and detect the driving factors [?]. Land use type is a model factor closely related to ECS. Therefore, we excluded the model factor (land use type) and selected nighttime light (which represents the intensity of human activities at global and regional scales) and natural factors (i.e., annual precipitation, annual mean temperature, digital elevation model (DEM), slope, aspect, vegetation type, and soil type) as the independent variables. The factor detector and the interaction detector in the Geodetector were used to quantitatively analyze the influences of various factors and identify their interactions on ECS.

#### 3.3.1 Factor Detection

The influence of each selected driving factor (independent variable  $X$ ) on ECS (dependent variable  $Y$ ) was evaluated using the  $q$ -statistic (Eq. 9):

$$q = 1 - \frac{\sum_{h=1}^L N_h \sigma_h^2}{N \sigma^2} = 1 - \frac{SSW}{SST}$$

where  $q$ -statistic indicates the influence of independent variable  $X$  on dependent variable  $Y$  ( $q = [0, 1]$ ; the stronger the explanatory power of independent variable  $X$  to dependent variable  $Y$ , the higher the  $q$ -statistic, and vice versa);  $h$  is the classification or partition of dependent variable  $Y$  or independent variable  $X$ ;  $L$  is the number of layers of the variable;  $N_h$  and  $N$  are the unit numbers in class  $h$  and the entire region, respectively;  $\sigma_h^2$  and  $\sigma^2$  are the variances of dependent variable  $Y$  in class  $h$  and the whole area, respectively; and  $SSW$  and  $SST$  are the within sum of squares and the total sum of squares, respectively.

### 3.3.2 Interaction Detection

The interaction between various factors on ECS was identified to determine whether the combination of factors  $X_1$  and  $X_2$  could enhance or attenuate their explanatory power for ECS (dependent variable  $Y$ ), or whether the influence of these factors on dependent variable  $Y$  is independent of each other. Table 3 shows the five types of factor interactions [?] considered in this study.

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## 4.1 Temporal and Spatial Variation Characteristics of ECS

During 1985–2020, the total ECS in the study area increased from 1985 until reaching a peak at  $402.36 \times 10^6$  t in 2010 and then slightly decreased from 2010 to 2020 (Table 4). The ECS increased by  $16.99 \times 10^6$  t (4.41%) from 1985 to 2010 with an average growth rate of  $0.68 \times 10^6$  t/a (0.18%/a), and the highest growth rate was  $1.74 \times 10^6$  t/a (0.45%/a) during 1990–1995. The ECS decreased by  $3.87 \times 10^6$  t (0.96%) from 2010 to 2020, with an average reduction rate of  $0.39 \times 10^6$  t/a (0.10%/a).

Spatial variation analysis revealed that ECS basically decreased from south to north and from east to west in the study area (Fig. 2 [Figure 2: see original paper]). Areas with high ECS were distributed in the southern loess hills and valleys, the central arid zone, and the northern Helan Mountain National Nature Reserve, which mainly comprised woodland, grassland, and cultivated land with high carbon sequestration capacity. However, areas with low ECS were distributed in the economic zone along the Yellow River, which were dominated by construction land and water body with low carbon sequestration capacity.

Three different variation types (increased, unchanged, and decreased) were analyzed to explore the spatial distribution of the variations in ECS during 1985–2020 (Fig. 3 [Figure 3: see original paper]). The ECS showed markedly spatial variations during 1985–2010 and 2010–2020. In the first period (1985–2010), increased ECS was observed in 7.10% of the study area, mainly in the Shapotou Nature Reserve, southern Helan Mountain National Nature Reserve, Qingtongxia City, and Baijitan National Nature Reserve; decreased ECS was observed in 2.93% of the study area, which appeared in a scattered distribution in the urban areas of Yinchuan City, Wuzhong City, and Shizuishan City, in addition to other counties and districts with fast economic development. In the second period (2010–2020), only 1.92% of the study area showed increased ECS, mainly in the eastern Baijitan National Nature Reserve and Pingluo County. Additionally, 2.71% of the study area ( $1214.02 \text{ km}^2$ ) showed decreased ECS, which was concentrated in Qingtongxia City.

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## 4.2 Impacts of Land Use Change on ECS

Table 5 shows the ECS in the NYRB under different land use types, and it can be found that grassland was the most important carbon pool during 1985–2020, with ECS accounting for >70.00% of the total ECS in the study area. Cultivated land showed the second highest carbon sequestration capacity, and its ECS was equivalent to only one-third of the ECS of grassland. The ECS of woodland and unused land ranked in descending order, and the ECS of construction land and water body was almost zero. From 1985 to 2020, the area of construction land continued to increase, but its total ECS remained zero. This was mainly due to the accelerated urbanization in the NYRB and the continued expansion of construction land with low carbon sequestration capacity. From 1985 to 2010, grassland, cultivated land, and woodland all expanded, which respectively contributed 82.75%, 19.54%, and 2.12% to the increase in the total ECS in the study area. This was the primary reason for the upward trend of the total ECS in the NYRB during 1985–2010. From 2010 to 2020, the total ECS showed a downward trend, which was mainly resulted from grassland reduction and construction land expansion.

Figure 4 [Figure 4: see original paper] shows the land use type transfer in the NYRB during 1985–2010 and 2010–2020. Overall, land use change in the NYRB was dominated by the expansion of cultivated land, woodland, and construction land at the expense of unused land. In the first period (1985–2010), an area of 2613.98 km<sup>2</sup> land was transferred into cultivated land, with the majority from grassland (85.26% of the transfer-in area of cultivated land) and small proportions from unused land (10.84%), water body (3.76%), and construction land (0.14%). A similar trend was observed in the second period (2010–2020), with an area of 2093.62 km<sup>2</sup> land transferred into cultivated land, mainly from grassland (93.23%). The transfer-in area of woodland was 28.25 km<sup>2</sup> in the first period and 22.21 km<sup>2</sup> in the second period, predominantly transferred from grassland (96.05% and 93.55% of the transfer-in area of woodland, respectively). The transfer-in area of construction land reached 563.64 km<sup>2</sup> in the first period, mainly from cultivated land (50.88% of the transfer-in area of construction land), grassland (27.34%), and unused land (20.25%). In the second period, an area of 296.61 km<sup>2</sup> land was transferred into construction land, with 50.51% from cultivated land, 24.38% from grassland, and 3.21% from unused land.

The transfer-out area of unused land was 2709.61 km<sup>2</sup> during 1985–2010 and 739.55 km<sup>2</sup> during 2010–2020; unused land in the two periods was largely transferred into grassland (84.37% and 84.25% of the transfer-out area of unused land, respectively), with small proportions transferred into cultivated land (10.46% and 10.21%, respectively) and construction land (4.21% and 4.69%, respectively) (Fig. 4). Furthermore, the area of grassland initially expanded and then reduced, with a slight increasing trend over the 36-year study period. From 1985 to 2010, the transfer-in area of grassland was 4203.10 km<sup>2</sup>, of which 54.39% was transferred from unused land and 45.38% from cultivated land. Conversely, from 2010 to 2020, 2926.63 km<sup>2</sup> of grassland area was transferred out, of which 66.70% was

transferred into cultivated land, 27.73% into unused land, and 3.48% into construction land. Water body expanded over time; specifically, the transferred-in area was 202.50 km<sup>2</sup> in the first period and 115.74 km<sup>2</sup> in the second period. Water body in the two periods was mainly transferred from cultivated land (46.09% and 52.44% of the transfer-in area of water body, respectively) and grassland (34.89% and 34.77%, respectively). Overall, land use change between 1985 and 2020 was dominated by the transfer of unused land with lower carbon density to grassland with higher carbon density, and the latter land use type was synchronously converted into cultivated land with higher carbon density.

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### 4.3 Temporal and Spatial Variation Characteristics of Land Use Change and ECS Under Land Use Scenarios

#### 4.3.1 Accuracy of Land Use Simulation

To evaluate the simulation results of land use distribution in 2050, we used the land use data of 1990 as the training set for simulating the land use distribution in 2020 (Fig. 5 [Figure 5: see original paper]). The Kappa coefficient was 0.832 and the overall accuracy was 0.910, suggesting that the simulation results were highly consistent with the actual land use distribution. Therefore, the land use simulation model was feasible and credible to meet the research needs.

#### 4.3.2 Future Patterns of Land Use Change Under Land Use Scenarios

Changes in land use types were predicted to have similar patterns during 2020–2050 under the four different land use scenarios (Table 6 ). Compared to 2020, the area of grassland will decrease by 6.22% in 2050 under the NDS, whereas the areas of cultivated land, woodland, and construction land will increase by 8.50%, 24.98%, and 74.29%, respectively. Under the EPS, the shrinkage of grassland in 2050 will diminish compared to that under the NDS; the area of grassland will decrease by 4.38% in 2050 compared to 2020, while the areas of woodland and water body will increase by 42.68% and 28.06%, respectively. Construction land will expand remarkably under the UDS, with its area increasing from 1081.30 km<sup>2</sup> in 2020 to 2889.45 km<sup>2</sup> in 2050. The area of cultivated land will reach its maximum extent under the CPS (increasing by 19.80% in 2050 compared to 2020), whereas the area of grassland will decrease by 9.92% in 2050 compared to 2020.

#### 4.3.3 Temporal and Spatial Variation Characteristics of ECS Under Land Use Scenarios

Table 7 summarizes the prediction results of ECS in 2050 under the four land use scenarios based on the InVEST model. Among them, the lowest ECS will occur under the UDS ( $378.85 \times 10^6$  t), while the highest is under the EPS ( $396.26 \times 10^6$  t). The ECS under the NDS and CPS will reach similar

levels, at  $390.38 \times 10^6$  and  $390.63 \times 10^6$  t, respectively. The total ECS in 2050 under all scenarios will be lower than that in 2020 (Tables 5 and 7).

Under all scenarios, the spatial distribution of ECS in 2050 will show high values in the southern part of the study area and low values in the northern part (Fig. 6a-d [Figure 6: see original paper]). The variations in ECS during 2020-2050 will predominantly occur in the economic zone along the Yellow River (Fig. 6e-h). Specifically, there will be a decrease in ECS in 2.64% of the study area ( $1095.41 \text{ km}^2$ ) under the NDS, mainly in cities with fast economic development, such as Yinchuan City and Wuzhong City. Additionally, 0.72% of the study area ( $297.02 \text{ km}^2$ ) will show an increase in ECS under the NDS, primarily in the western part of Qingtongxia City and Lingwu City.

Compared with ECS under the NDS, there will be larger areas showing a decrease ( $1526.09 \text{ km}^2$ , i.e., 3.68% of the total area) or an increase ( $1327.63 \text{ km}^2$ , i.e., 3.20% of the total area) in ECS under the EPS. This indicates that the adoption of ecological protection strategies will lead to a remarkable expansion of areas with increased ECS while diminishing the decrease in the total ECS in the NYRB. The ECS will decrease in considerable areas ( $4948.46 \text{ km}^2$ , i.e., 11.93% of the total area) under the UDS, accelerating the decline of the total ECS in the study area. The variation trend of ECS under the CPS is consistent with that under the NDS. The ECS will decrease in 9.20% of the study area ( $3818.65 \text{ km}^2$ ) under the CPS, whereas an area of  $302.43 \text{ km}^2$  (0.73% of the study area) will exert an increase in ECS.

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#### 4.4.1 Influence of Individual Natural and Human Factors

The natural and human factors had distinct influences on the spatial differentiation of ECS in the NYRB (Fig. 7 [Figure 7: see original paper]). We ranked the influences of various factors based on the  $q$ -statistic values: nighttime light > soil type > annual mean temperature > annual precipitation > DEM > slope > vegetation type > aspect. Nighttime light was the leading factor contributing to the spatial differentiation of ECS ( $q = 0.322$ ). Soil type and annual mean temperature ( $q > 0.200$  for each) were the major natural factors driving the spatial differentiation of ECS. Annual precipitation and DEM ( $q > 0.100$  for each), followed by slope, vegetation type, and aspect ( $q < 0.100$  for each), were less influential in shaping the spatial distribution of ECS.

#### 4.4.2 Interactions Between Influence Factors and Their Combined Effect on the Spatial Differentiation of ECS

Overall, the interactions between natural and human factors exhibited an enhancement effect on the spatial differentiation of ECS (Fig. 8 [Figure 8: see original paper]). The interactions of two driving factors that affected the spatial distribution of ECS showing nonlinear enhancement accounted for 87.50%,

and bivariate enhancement accounted for 12.50%. There were no independent factors, indicating that the influence of the interaction between any two driving factors on the spatial differentiation of ECS was greater than that of a single driving factor in the NYRB. Among the eight different driving factors, the interaction between nighttime light and soil type had the greatest influence on the spatial differentiation of ECS ( $q = 0.608$ ), followed by the interaction between DEM and nighttime light ( $q = 0.459$ ) and the interaction between soil type and annual precipitation ( $q = 0.458$ ) (Fig. 8).

Despite the low explanatory power of natural factors such as slope and aspect, their interaction with nighttime light showed a strong influence on the spatial differentiation of ECS ( $q$  values of 0.422 and 0.403, respectively). The combined effect between other natural factors and nighttime light was also non-negligible ( $q > 0.400$ ), providing additional evidence for the strong influence of human activities on the spatial differentiation of ECS. The results indicate that the influence of various natural and human factors was nonlinearly and interactively enhanced through interactions, and the complex coupling between various influence factors shaped the spatial differentiation of ECS.

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## 5.1 Temporal and Spatial Variation Characteristics of ECS Caused by Land Use Change

Ecosystems in arid and semi-arid areas constitute a significant portion of the global carbon pool and therefore considerably contribute to carbon biogeochemical cycling [?]. The carbon dynamics in arid and semi-arid areas can mitigate or enhance the environmental impacts of atmospheric CO<sub>2</sub> and global drought [?]. It is vital to reveal the temporal and spatial variation characteristics of ECS in representative arid and semi-arid areas, such as the NYRB. Yang et al. [?] found that the total carbon storage in the Yellow River Basin exhibited an upward trend from 2000 to 2018, which mirrors the patterns observed in the NYRB (this study) and in the upper reaches of Shule River Basin, China [?]. Additionally, Wang et al. [?] found that the soil carbon storage of desert grasslands in Ningxia Hui Autonomous Region accumulated at a rate of 2.00 g/(m<sup>2</sup> · a), and the total ECS basically increased from 1958 to 2017. In partial support of the previous findings, we found that the total ECS in the NYRB increased by 13.71 × 10<sup>6</sup> t from 1985 to 2020, despite a downward trend during 2010–2020.

In the NYRB, the dominant grassland type is desert grassland, which features slightly lower carbon density than cultivated land (Fig. 4). In the wake of continuous population growth, the transformation of grassland and unused land to cultivated land has led to a considerable increase in ECS during 1985–2010 (Table 5). Since 2000, China has implemented the Strategy for Large-scale Development of Western China, and the government in Ningxia Hui Autonomous Region has accelerated the pace of converting cultivated land into woodland and

grassland [?]. Consequently, the area of cultivated land in the NYRB decreased over time and was largely converted into grassland (Fig. 4; Table 5). Moreover, western China has been experiencing rapid industrialization and urbanization, with land use change being intensified over time [?]. During the study period, the population size and construction land area in the NYRB have been growing dramatically, especially in the economic zone along the Yellow River [?]. As a result, the ECS in the study area decreased from 2010 to 2020 (Table 4), indicating that the ecosystems in arid and semi-arid areas have changed from a carbon sink to a carbon source, with a functional decline in carbon sequestration.

With regard to land use change, Li et al. [?] found that from 1985 to 2010, the area of woodland, construction land, and cultivated land expanded at the expense of unused land in the NYRB, which is compatible with our findings. Large-scale conversion of unused land with low carbon density into grassland and cultivated land with high carbon density was the primary factor leading to the increase in ECS over the period of 1985–2010. In recent decades, land use patterns have markedly changed in the NYRB owing to the implementation of ecological protection projects, such as the Returning Farmland to Forest or Grassland and Sand Control & Forest Protection [?], which is conducive to carbon sequestration. Overall, the land use structure of the NYRB has been optimized over the 36-year study period, with a considerable expansion of woodland and water body and a simultaneous shrinkage of unused land.

We found that ECS showed regional differences in its spatial distribution across the NYRB. The Shapotou Nature Reserve and Baijitan National Nature Reserve are located at the edge of Tengger Desert and Mu Us Sandy Land, respectively. Yang and Wang [?] and Song et al. [?] found that the two nature reserves were devoted to ecological restoration, evolving the original drifting sandy land into an artificial-natural composite desert-vegetation landscape through sand control and afforestation. We also found that the dominant land use type in the two nature reserves changed from unused land to grassland from 1985 to 2020, along with a steady increase in ECS (Fig. 3). Furthermore, the NYRB is an ecologically fragile inland region in northwestern China, and water shortage is the most important ecological limiting factor in this region. Barren mountains and slope areas at the edge of Qingtongxia City are located in the border zone between Ningxia Hui Autonomous Region and Inner Mongolia Autonomous Region, far from cities and suburbs. Their ecological environment is highly sensitive to external disturbances, and large areas of unused land have been transformed into grassland under ecological protection projects, contributing to the increased ECS from 1985 to 2010. However, after 2010, the ECS in these sensitive areas decreased to a certain degree, which is probably a result of grassland degradation under reduced precipitation.

## 5.2 Quantification Analysis of Factors Driving the Spatial Differentiation of ECS

Previous studies have shown that land use change is the main factor affecting the variation in ECS [?, ?, ?]. In this study, we used land use as a model factor and excluded it from the analysis of influence factors driving the change in ECS. Nighttime light can indicate the intensity of human activities at global and regional scales, so we used this index as a human factor to represent socioeconomic condition and transportation accessibility. Our results provide evidence that nighttime light strongly influences the ECS in the NYRB.

We found that soil type and annual mean temperature are the major natural factors driving the spatial differentiation of ECS, in agreement with previous studies [?, ?]. From a geographical perspective, soil nutrients directly affect soil carbon storage, and higher nutrient contents generally contribute to larger soil carbon storage [?]. Soil nutrients also support plant growth and biomass accumulation, thereby improving vegetation carbon density and carbon storage. From a meteorological perspective, higher temperatures accelerate carbon sequestration, since chemical processes take place at faster rates under higher-temperature conditions [?]. Our results reveal that the interaction of any two driving factors had a greater influence on ECS compared to a single driving factor, indicating that the spatial variation in regional ECS has arisen from the combined effect of multiple influence factors [?, ?].

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## 5.3 Suggestions for Optimizing Future Land Use Patterns

Based on the PLUS model, we predicted the variation of ECS in the NYRB under four future land use scenarios. The ECS will exhibit a downward trend from 2020 to 2050 under both the NDS and EPS, which may be related to the lagging economic development of Ningxia Hui Autonomous Region and its large economic gap compared with other developed regions in China [?]. Specifically, the future decrease in ECS can be attributable to the accelerated urbanization of the NYRB, the large-scale conversion of cultivated land and grassland with high carbon density into construction land with low carbon density, and the depletion of reclaimable unused land. Grassland is the most dominant land use type in the NYRB, accounting for more than 65.00% of the total study area (Fig. 5; Table 6). As animal husbandry is the pillar industry of the regional economy, grassland is frequently affected by human activities [?]. Therefore, grassland conservation is the key to achieving sustainable ecosystem development and promoting carbon sequestration in the NYRB.

The ranking of the total ECS in the NYRB under the four land use scenarios (i.e.,  $EPS > CPS > NDS > UDS$ ) is consistent with the results of Bian et al. [?]. Considering the variation of ECS in the NYRB under different land use scenarios, decision-makers should focus on protecting grassland resources

and preventing grassland degradation, in addition to limiting the expansion of construction land. Furthermore, low-carbon-oriented approaches should be adopted for land use structure optimization, and the transformation of unused land into woodland or grassland needs to be promoted by exploiting unused land, establishing no-grazing protection zones, and strengthening legal grassland and woodland management. It is also suggested to consolidate the achievements of ecological construction in grassland.

In the next 30 years, variation in ECS will occur mainly in the economic zone along the Yellow River, a nationally important ecological node and the core area for the construction of the early ecological protection zone in the NYRB. In areas with fast economic development, ECS will decrease due to rapid regional urbanization, industrialization, and population growth along both sides of the Yellow River. In contrast, ECS will be effectively increased in areas where ecological conservation and restoration projects have been vigorously promoted, such as wetlands along the Yellow River, forests, and scrubland. Therefore, in addition to limiting construction land expansion and enhancing nature reserve conservation, decision-makers should strengthen biological engineering programs in western Qingtongxia City and northern Shapotou Nature Reserve to control the erosion of wind and sand from the Tengger Desert. Furthermore, it is recommended to promote the conversion of unused land into grassland using genetically improved grass seeds in Lingwu City and Pingluo County [?].

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## 6 Conclusions

This study characterized the current and future spatiotemporal variations of ECS in response to land use change in the NYRB by coupling the PLUS model and InVEST model. The total ECS in the study area increased from  $385.37 \times 10^6$  t in 1985 to  $398.49 \times 10^6$  t in 2020, with a growth rate of 3.40% and a peak value of  $402.36 \times 10^6$  t (2010). The ECS showed a heterogeneous spatial distribution and decreased overall from south to north. The spatiotemporal patterns of ECS were prominently influenced by the inter-conversion between unused land with low carbon density and grassland (and cultivated land) with high carbon density. In addition to human activities, soil type and annual mean temperature had a strong influence on the spatial differentiation of ECS. Compared with the situation in 2020, the total ECS in 2050 will further decrease under different future land use scenarios with the order of  $EPS > CPS > NDS > UDS$ .

ECS assessment results in the NYRB are beneficial to decision-making in regional ecological protection and sustainable development. The carbon density could vary depending on environmental change and human activities, which calls for field studies to continuously monitor the carbon density and verify the reliability of the corrected data in a timely manner. To improve the accuracy of land use simulations, the impact of policy factors (e.g., ecological protection

red line) on land use change should be taken into account in future research, as such factors could affect the performance of the PLUS model. Findings of this study could provide theoretical support for future carbon management and territorial spatial planning in the study area as well as other similar arid and semi-arid areas.

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### Conflict of Interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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## Supplementary Materials

### 1 Land Expansion Analysis Strategy

By overlaying two-period land use data (1990 and 2020) and extracting cells with changed status from the later date land use data (2020), we obtained the land expansion map for each land use type. The development probability of each land use type was calculated using a random forest (RF) algorithm, and the contribution of various factors driving the land expansion was evaluated using the specific formula (Eq. S1) on the basis of two-period land use data [?]:

$$P_{i,k}(x) = \frac{1}{M} \sum_{n=1}^M I(h_n(x) = k)$$

where  $P_{i,k}(x)$  is the development probability of land use type  $k$  at grid cell  $i$ ; the value of  $d$  is either 0 or 1 (the value of 1 indicates that there are other land use types that change to land use type  $k$ , while the value of 0 represents other transitions);  $x$  is a vector that consists of multiple driving factors;  $M$  is the total count of decision trees;  $I$  is the indicative function of the decision tree set; and  $h_n(x)$  is the prediction type of the  $n$ th decision tree ( $n = 1, 2, \dots, M$ ) for vector  $x$ . In addition, the RF algorithm has the advantage of measuring the importance of independent variables to the variation of dependent variables, which can be calculated according to the variation of the out-of-bag error caused by stochastic noise.

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### 2 Cellular Automata (CA) Model Based on Multi-Type Random Patch Seeds

The generation of land use patches was simulated within the bounds of the development probability for each land use type based on a combination of ran-

dom seed generation and a threshold decreasing mechanism [?]. Neighborhood weight parameter was obtained by computing the ratio of the expansion area of a given land use type to the total area of the given land use type based on the land expansion map (Table S1) [?, ?]. The land use transfer cost matrix of the Ningxia Section of Yellow River Basin (NYRB) (Table S2) was obtained using the land use transfer matrix from 1990 to 2020.

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*Note: Figure translations are in progress. See original paper for figures.*

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