

Postprint: Genetic Diversity and Genetic Structure of Wild *Pinellia ternata* in China

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Abstract

Quaternary climatic fluctuations and geographic and environmental isolation have profoundly influenced the genetic diversity, genetic structure, and geographical distribution patterns of modern plants. This study employed molecular phylogeographic approaches to analyze three chloroplast fragments (psbK-psbI, atpF-atpH, and trnL-F) in 212 individuals from 19 populations of the medicinal plant *Pinellia ternata*, aiming to investigate its genetic diversity, genetic structure, patterns and causes of geographical distribution, and population historical dynamics. The results showed: (1) The total haplotype diversity H_d of *Pinellia ternata* was 0.882, and the total nucleotide diversity π was 1.23×10^{-3} , indicating high genetic diversity at the species level. (2) Analysis of Molecular Variance (AMOVA) revealed that genetic variation in *Pinellia ternata* occurred primarily among populations, with significant genetic differentiation ($F_{ST} = 0.909$, $P < 0.001$) and low within-population genetic diversity ($H_S = 0.134$); the coefficient of genetic differentiation among populations $N_{ST} = 0.913$ was greater than $G_{ST} = 0.855$ ($0.01 < P < 0.05$), indicating a distinct phylogeographic structure of chloroplast haplotypes. (3) Neutrality test results showed that Tajima's D , Fu & Li's D , and Fu and Li's F^* values were all non-significant positive values, Fu's F_s value was a non-significant negative value, and the mismatch distribution curve was bimodal, indicating that *Pinellia ternata* populations as a whole have not experienced expansion events. (4) The geographical distribution of haplotypes revealed that southwestern and central-eastern regions exhibited higher haplotype diversity and contained endemic haplotypes, suggesting the existence of glacial refugia in these two regions during the Quaternary glacial periods. In summary, through the analysis of three chloroplast genes in *Pinellia ternata* from different regions, this study elucidated its genetic diversity, genetic structure, and geographical distribution patterns, and also provided scientific recommendations and conservation strategies for the molecular screening and protection of elite germplasm of *Pinellia ternata*.

Full Text

Preamble

Genetic Diversity and Genetic Structure of Wild *Pinellia ternata* (Araceae) in China

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Abstract: Quaternary climate fluctuations and geographic-environmental isolation have profoundly influenced the genetic diversity, genetic structure, and geographic distribution patterns of modern plants. This study employed phylogeographic methods to analyze three chloroplast fragments (psbK-psbI, atpF-atpH, and trnL-F) from 212 individuals across 19 populations of the medicinal plant *Pinellia ternata*. We investigated its genetic diversity, genetic structure, geographic distribution patterns and their underlying causes, and explored population historical dynamics. The results revealed: (1) Total haplotype diversity (Hd) of 0.882 and total nucleotide diversity (π) of 1.23×10^{-3} , indicating high genetic diversity at the species level. (2) Analysis of Molecular Variance (AMOVA) showed that genetic variation occurred primarily among populations, with significant genetic differentiation ($F_{ST} = 0.909$, $P < 0.001$) and low within-population genetic diversity ($H_S = 0.134$). The genetic differentiation coefficient among populations ($N_{ST} = 0.913 > G_{ST} = 0.855$, $0.01 < P < 0.05$) demonstrated a clear phylogeographic structure of chloroplast haplotypes. (3) Neutrality tests showed non-significant positive values for Tajima's D, Fu & Li's D, and Fu and Li's F, and a non-significant negative Fu's Fs value, with a bimodal mismatch distribution curve, indicating that *P. ternata** populations have not experienced expansion events. (4) Haplotype geographic distribution revealed higher haplotype diversity and the presence of private haplotypes in southwestern and central-eastern China, suggesting these regions served as glacial refugia during the Quaternary ice ages. In summary, analysis of three chloroplast genes across different regions of *P. ternata* clarifies its genetic diversity, genetic structure, and geographic distribution patterns, providing scientific recommendations and conservation strategies for molecular screening and protection of elite germplasm.

Keywords: *Pinellia ternata*, geographical distribution pattern, genetic diversity, genetic structure, glacial refugia

Introduction

Global climate change, particularly Quaternary climate fluctuations and environmental changes, has profoundly impacted the genetic diversity, genetic structure, and geographic distribution patterns of modern plants (Hewitt, 1996, 2004; Bennett & Provan, 2008). Phylogeographic studies have been extensively conducted in Europe and North America, revealing the genetic structure and evolutionary history of extant plant populations (Hickerson et al., 2010; Qiu et al., 2011; Liu et al., 2012; Ye et al., 2017). During glacial periods, extensive continental glaciers forced thermophilic plants at high latitudes to retreat southward, forming “glacial refugia” (Hewitt, 2004). Conversely, during interglacial or postglacial periods of warming climate and glacial retreat, plants from refugia migrated back to higher latitudes (Hewitt, 1996, 2004; Shafer et al., 2010). However, the impacts of global climate change and environmental fluctuations on genetic diversity, genetic structure, phylogeographic distribution patterns, and population dynamic history of Chinese plants differ markedly from those in Europe and North America (Qiu et al., 2011; Liu et al., 2012; Meng et al., 2015; Wang et al., 2017), manifesting in several aspects: (1) Due to the absence of extensive ice sheet coverage in China, plants underwent range contractions during glacial periods, with multiple glacial refugia existing; (2) During interglacial or postglacial periods, individuals surviving in refugia experienced range expansions; (3) The uplift of the Qinghai-Tibet Plateau and associated mountain-river isolation, monsoon-induced dry-wet alternations, aridity, and Quaternary glacial-interglacial cycles constitute major factors shaping plant phylogeographic patterns. However, these studies have primarily focused on the Qinghai-Tibet Plateau, southwestern China, the Qinling Mountains and adjacent regions, and have mainly targeted woody plants, with relatively few similar studies on other regions and herbaceous species.

Plant chloroplast DNA (cpDNA) is a circular double-stranded DNA molecule. Compared with nuclear genomic DNA, cpDNA is characterized by small molecular weight, multiple copies, and simple structure, with rare recombination among different cpDNA fragments. Consequently, it exhibits higher genetic differentiation and lower gene flow in plant population studies, enabling clearer elucidation of species’ genetic variation and phylogeographic patterns (Avisé et al., 2000; Sudhir et al., 2016). Therefore, increasing research has employed multiple chloroplast genes to investigate plant genetic diversity, genetic structure, phylogeographic distribution patterns, and population historical dynamics (Zhang, 2022).

Pinellia ternata (Araceae) is a perennial herbaceous plant, commonly known as Shoutian, Diwen, Matouyu, Tianluoxing, or Wuxincai. As a clinically important traditional Chinese medicine, it grows in moist, warm, shaded, and loose sandy soils below 2,500 m elevation and is endemic to East Asia. Wild *P. ternata* is distributed across all Chinese provinces except Inner Mongolia, Qinghai, Xinjiang, and Tibet (Li et al., 2004; Zhang, 2007). Geographic distance leads to population and phenotypic differentiation over time, affecting its genetic diver-

sity and genetic structure. Meanwhile, extensive commercial exploitation and lack of conservation measures have caused a sharp decline in wild resources, necessitating collection and genetic background analysis. As utilization of *P. ternata* expands, research has proliferated, though primarily focusing on chemical composition, toxicity, and pharmacological effects (Li et al., 2021).

Few scholars have investigated phylogenetic relationships and evolutionary affinities. For instance, Zhao & Li (2016) used matK+rbcL combined sequences to analyze phylogenetic relationships within *Pinellia*, demonstrating that matK+rbcL can serve as DNA barcodes for species identification. Zhang (2007) used ITS sequences to analyze sequence variation among Chinese *P. ternata* populations and its correlation with geographic distribution and morphology, showing that rDNA variation relates to geographic distribution. Pan et al. (2021) used ITS sequences to reveal population genetic structure and diversity. Zhang et al. (2021) developed SSR primers to analyze genetic diversity among populations. Zheng et al. (2013) analyzed cpDNA non-coding regions (psbK-psbI and atpF-atpH) in *P. ternata* and related species, identifying abundant variation sites, yet comprehensive studies on genetic diversity, genetic structure, phylogeographic patterns, and population historical dynamics based on multiple chloroplast genes remain lacking. As a widespread herbaceous species with strong ecological adaptability spanning different climate zones and multiple biodiversity hotspots, *P. ternata* represents an ideal material for investigating genetic structure, phylogeographic patterns, and population historical dynamics in herbs and widespread species.

This study employs phylogeographic methods based on three chloroplast genes (psbK-psbI, atpF-atpH, and trnL-F) to analyze 212 wild samples from 19 natural populations across 15 provinces in eastern, central, northwestern, and southwestern China. We investigate genetic diversity, genetic structure, phylogeographic patterns, and population historical dynamics of this medicinal plant, aiming to reveal genetic variation among and within populations, haplotype geographic distribution patterns, infer glacial refugia during Quaternary ice ages, and propose scientific recommendations and conservation strategies for molecular screening and protection of elite germplasm. This research provides important references for *P. ternata* resource conservation, utilization, and molecular-assisted breeding, while offering theoretical foundations for further exploring Chinese flora evolution and species diversity formation.

1.1 Experimental Materials

Pinellia ternata materials were collected and preserved from 2015 to 2020, covering 15 provinces including Guizhou, Zhejiang, Gansu, and Sichuan, spanning northern and southern China and representing natural geographic distribution zones in eastern, central, northwestern, and southwestern regions. A total of 212 individuals from 19 natural populations were collected, with location in-

formation measured using Global Positioning System (Table 1). Fresh leaves were immediately dried with silica gel for total DNA extraction. Voucher specimens are deposited in the Herbarium of the College of Life Sciences, Guizhou University.

1.2 DNA Extraction and Amplification Sequencing

Total DNA was extracted from silica gel-dried leaves using a novel plant genomic DNA extraction kit (column type). Primers were selected based on published *P. ternata* sequences and related reports (Zheng et al., 2013), targeting three chloroplast gene fragments (psbK-psbI, atpF-atpH, and trnL-F), with primers synthesized by Sangon Biotech (Shanghai) Co., Ltd. Primer sequences and PCR amplification protocols are listed in Table 2. The PCR reaction system (25 L) contained 12.5 L $2\times$ Taq PCR MasterMix, 8.5 L ddH₂O, 1 L forward primer, 1 L reverse primer, and 2 L DNA template. Total DNA and PCR products were detected by 1% agarose gel electrophoresis. After electrophoresis, gels were visualized using a gel imaging system, and qualified products were sent to Sangon Biotech (Shanghai) Co., Ltd. for purification and sequencing.

1.3 Data Analysis

Sequences were aligned using MEGA7.0 software (Sudhir et al., 2016) to correct erroneous bases, perform multiple sequence alignment, and manually trim primer regions from both ends. Sequences were assembled using PhyloSuite software (Zhang et al., 2020). DNASP6.0 software was used to count haplotype numbers and calculate haplotype diversity (Hd), nucleotide diversity (π), Tajima's D, Fu and Li's F*, Fu & Li's D, and Fu's Fs values, with mismatch analysis performed to detect expansion events (Tajima, 1989; Fu, 1997). PERMUTCpSSR 2.0 was used to calculate total genetic diversity (HT), average within-population genetic diversity (HS), and differentiation coefficients (GST and NST), with Network software used to construct haplotype networks (Bandelt et al., 1999). Arlequin software (Lu, 2018) was employed for AMOVA to calculate population genetic structure and detect variation among and within populations, with fixation index (FST) and gene flow (Nm) calculated to reveal population differentiation. ArcGIS 10.2 software was used to map haplotype geographic distributions.

2.1 Sequence Variation and Haplotype Diversity

The combined psbK-psbI+atpF-atpH+trnL-F sequences were successfully obtained from 212 individuals. The aligned sequence length was 1,947 bp, containing 11 variable sites with G + C content of 31.80%. These sequences

were submitted to GenBank under accession numbers OL310546–OL310559, OL310532–OL310545, and OL310560–OL310573. As shown in Table 3, only populations from Zhaotong City, Yunnan Province (C6) in southwestern China; Guiyang City, Guizhou Province (C14); Mianyang City, Sichuan Province (C17); Chizhou City, Anhui Province (C7) in eastern China; and Yiwu City, Zhejiang Province (C13) contained multiple haplotypes, while all other populations had only one haplotype. DNASP analysis revealed total haplotype diversity (H_d) of 0.882 and total nucleotide diversity (π) of 1.23×10^{-3} . Zhaotong City, Yunnan (C6) and Mianyang City, Sichuan (C17) showed the highest haplotype diversity (0.5333), followed by Chizhou City, Anhui (C7) and Yiwu City, Zhejiang (C13). Overall, populations in southwestern and eastern China exhibited higher genetic diversity levels.

2.2 Haplotype Distribution

DNASP analysis of the psbK-psbI+atpF-atpH+trnL-F combined sequences (excluding insertions and deletions) identified 14 haplotypes (H1–H14). Haplotype H10 comprised 53 individuals with the highest frequency. Haplotypes H2 and H10 showed the widest distribution ranges: H10 occurred in Yichang City, Hubei (C2); Qiandongnan, Guizhou (C3); Tianshui City, Gansu (C4); Zhaotong City, Yunnan (C6); and Chizhou City, Anhui (C7), while H2 occurred in Yiwu City, Zhejiang (C13); Shangluo City, Shaanxi (C15); Shijiazhuang City, Hebei (C16); Mianyang City, Sichuan (C17); and Shangrao City, Jiangxi (C19). Except for H1, H2, H10, and H11, which occurred in multiple populations, all other haplotypes were private: H3 only in Yiwu (C13); H4 and H5 only in Guiyang (C14); H6 only in Mianyang (C17); H7 only in Dandong, Liaoning (C1); H8 only in Qingdao, Shandong (C10); H9 only in Guyuan, Ningxia (C18); H12 only in Chizhou (C7); H13 only in Nantong, Jiangsu (C8); and H14 only in Kaiyang, Guizhou (C9). Chizhou (C7) contained the most haplotype types (H10, H11, and H12). Haplotype network analysis revealed H10 as the central, ancestral haplotype from which others were derived (Figure 1 [Figure 1: see original paper]).

2.3 Mismatch Analysis and Neutrality Tests

DNASP software was used for neutrality tests and mismatch analysis, yielding non-significant results. Conservative Tajima's D statistic was 0.67883 ($P > 0.10$); Fu and Li's D^* was 1.38007 ($0.10 > P > 0.05$); Fu and Li's F^* was 1.34421 ($P > 0.10$); and Fu's F_s was -1.304. Positive Tajima's D values suggest possible bottleneck effects. The mismatch distribution showed a bimodal pattern with discordance between expected and observed distributions, violating population expansion models. Neutrality tests detected no expansion signals, indicating a stable population history without large-scale expansion events (Figure 3 [Figure

3: see original paper]).

2.4 Genetic Diversity and Population Genetic Structure

PERMUT analysis revealed total genetic diversity (HT) of 0.882, average within-population diversity (HS) of 0.134, and differentiation coefficients GST of 0.855 and NST of 0.913. The pattern of NST > GST ($0.01 < P < 0.05$) indicated significant phylogeographic structure, with closely related haplotypes co-occurring within the same populations.

AMOVA results showed that genetic variation occurred primarily among populations, with 89.27% of total variation attributed to among-population differences and only 10.73% from within-population variation (Table 4). The fixation index FST was 0.909 ($P < 0.001$), with 1,000 permutations confirming significance ($FST > 0.25$), demonstrating substantial genetic differentiation and isolation among *P. ternata* populations. Assuming drift-migration equilibrium, the estimated average gene flow (Nm) among populations was 0.02, indicating extremely low inter-population gene flow.

3.1 Genetic Diversity

Population genetic diversity is closely related to environmental conditions, with numerous factors directly or indirectly influencing genetic variation (Jiang, 2017). Generally, widespread species exhibit higher genetic diversity than narrowly distributed species (Hamrick, 1992). *P. ternata* has a broad distribution range with distinct genetic and morphological variations across different geographic environments. During field sampling, we observed different ecotypes varying in plant size, leaf shape, and spathe characteristics, consistent with our experimental data. Analysis of three cpDNA fragments from 212 individuals across 19 natural populations revealed total haplotype diversity (Hd) of 0.882 and nucleotide diversity (π) of 1.23×10^{-3} , exceeding the average cpDNA genetic variation of 0.67 reported for 170 species by Petit et al. (2005). Zhang (2021) reported average Nei's gene diversity index (h) of 1.03 in 17 *P. ternata* populations using SSR markers, while Pan et al. (2021) obtained haplotype diversity (Hd) of 0.8596 in 20 populations using ITS sequences. Our results align with these studies, all indicating high genetic diversity at the species level. This high diversity may result from natural selection and species distribution range. Complex topography and diverse climates across different distribution areas create geographic isolation, leading to substantial differentiation in morphology, physiology, genetics, and ecological habits (Wang et al., 2011; Pan et al., 2021). As a widespread species, *P. ternata* likely harbors different genetic and morphological variations across environments. Additionally, gene mutation may contribute significantly, as *P. ternata* possesses both sexual and asexual reproduction systems with dominant vegetative propagation due to

highly sterile male gametes (Wang et al., 2000). In plants with difficult sexual reproduction, gene mutation becomes a primary source of genetic variation (Wang et al., 2011), potentially contributing to high inter-population genetic diversity. Furthermore, *P. ternata* possesses a complex gene pool that may have accumulated rich chloroplast genetic variation through long evolutionary history and generational turnover, providing abundant material for mutation.

3.2 Geographic Distribution Pattern and Population Historical Dynamics

Plant geographic distribution patterns and genetic structure are influenced by geological and climatic history, habitat heterogeneity, and seed-mediated gene flow (Liu et al., 2021). This study revealed significant phylogeographic structure ($NST = 0.913 > GST = 0.855$, $0.01 < P < 0.05$) and substantial genetic differentiation ($FST = 0.909$, $P < 0.001$), indicating infrequent gene flow and significant geographic isolation or environmental heterogeneity. The estimated gene flow ($Nm = 0.02$) based on FST values suggests insufficient gene flow to counteract genetic drift-induced population differentiation when $Nm < 1$ (Slatkin & Montgomery, 1985). Therefore, we infer that geographic isolation (or environmental heterogeneity) and genetic drift are primary factors driving inter-population differentiation. Low gene flow may be attributed to: (1) Reproductive modes including seed, tuber, and bulbil propagation (Zhang et al., 2016). Bultils are particularly important for reproduction, with their size and number closely related to tuber yield (Zhang et al., 2013). Bultils, daughter tubers, and fruits remain near maternal plants, making *P. ternata* a poor colonizer (Gu & Guo, 1990) and resulting in low inter-population gene flow. (2) Habitat fragmentation due to geographic isolation and environmental factors, disrupting or weakening gene exchange among populations.

Non-significant Tajima' s D and Fu' s F_s values suggest the *P. ternata* population conforms to neutral evolution models. The bimodal mismatch distribution, with discordance between expected and observed values, indicates no recent large-scale expansion, contrasting with Pan et al. (2021) who suggested expansion events. This discrepancy may stem from cpDNA' s maternal inheritance, where gene flow occurs primarily through seed dispersal (Hu & Li, 2002), whereas nuclear genes have biparental inheritance with both seed and pollen flow contributions. Limited seed dispersal compared to pollen may yield different results.

Haplotype geographic distribution shows multiple relatively isolated distribution zones, each with private and dominant haplotypes. Generally, haplotypes located centrally in network structures, with high frequency and wide distribution, are considered ancestral (Freeland et al., 2012). Haplotype H10, with high frequency, wide distribution, and central network position, is inferred as the ancestral haplotype. Chizhou, Anhui (C7) not only showed high haplotype di-

versity but also contained the most haplotype types, supporting the conclusion that *P. ternata* originated in eastern China and spread along the Yangtze River (Li, 1996). Fourteen of 19 populations contained only one haplotype, likely related to predominant vegetative reproduction, where a single genotype (clone) may dominate a population.

Glacial refugia refer to areas where species survived during ice ages, particularly the Last Glacial Maximum, when climate and topography changed dramatically, forcing large-scale geographic shifts (Haffer, 1969). According to refugia theory, regions with high genetic diversity, ancient haplotypes, and numerous private haplotypes are potential glacial refugia (Favre et al., 2010). Southwestern populations (Zhaotong, Yunnan (C6); Guiyang, Guizhou (C14); Mianyang, Sichuan (C17)) and eastern populations (Chizhou, Anhui (C7); Yiwu, Zhejiang (C13)) all exhibited high genetic diversity with ancient and private haplotypes. Therefore, at least two glacial refugia existed for *P. ternata* during the Quaternary ice ages, likely in central-eastern and southwestern China, consistent with our research group's findings on *Allium macrostemon* (Mo et al., 2019; Shi et al., 2021), possibly due to similar distribution and ecological characteristics.

This study investigated genetic diversity, phylogeographic patterns, and population historical dynamics of *P. ternata* across 15 provinces (Guizhou, Zhejiang, Gansu, Sichuan, etc.), spanning northern and southern China and representing eastern, central, northwestern, and southwestern distribution zones, thereby covering the entire Chinese geographic range. Although Japanese and Korean Peninsula materials were not included, our conclusions show similarities and consistency with our group's previous studies on *Allium macrostemon* and *Bupleurum longiradiatum*, as well as earlier research on *Ginkgo biloba* (Fan, 2014) and *Liquidambar formosana* (Sun, 2017) across southwestern and central-eastern China, demonstrating that our sampling strategy was feasible and conclusions reliable.

3.3 Molecular Screening and Conservation Strategies for Elite Germplasm of Medicinal Plant *P. ternata*

Pinellia ternata tubers are used medicinally for drying dampness, resolving phlegm, reversing adverse flow of qi, stopping vomiting, and dispersing masses. It is one of the most commonly used traditional Chinese medicines, clinically applied for anti-tumor, anti-fertility, anti-spasmodic, lipid-regulating, expectorant, anti-swelling, and coronary heart disease treatments (Wang et al., 2012). In recent years, excessive use of chemical fertilizers and pesticides (e.g., herbicides) and unregulated harvesting have destroyed wild habitats, causing rapid depletion of wild resources. Meanwhile, domestic and international demand continues to grow, particularly with global warming increasing lung diseases and market demand. Therefore, breeding elite germplasm or new varieties has become an urgent priority. Additionally, continuous cropping obstacles cause

severe diseases and pests, reduced product quality and yield, and rising prices, with few new varieties available (An et al., 2018). A few red-tuber varieties with high yield and resistance have been discovered but lack complete breeding methods (Zhang et al., 2021). Studies show *P. ternata* fears direct sunlight, with moderate shading promoting growth but excessive shading causing poor growth or death (Chang, 2022). High temperatures ($\geq 32^{\circ}\text{C}$) or low winter temperatures induce seedling fall or dormancy, affecting yield (Zhang et al., 2004). Therefore, breeding targets include tolerance to intense light and high temperature, low temperature and humidity, and continuous cropping, aiming to extract superior new germplasm. Selection involves comparing biological characteristics, resistance identification, yield analysis, and reproductive traits, followed by field performance evaluation in resource nurseries targeting growth vigor, leaf number per plant, disease resistance, bulbil position and capacity, tuber shape and productivity. Chang (2022) associated yield traits with cytological characteristics, finding that *P. ternata* germplasm with smaller genomes may favor bulbil formation and underground tuber proliferation. Our molecular study identified Zhaotong, Yunnan (C6); Guiyang, Guizhou (C14); Mianyang, Sichuan (C17); Chizhou, Anhui (C7); and Yiwu, Zhejiang (C13) as regions with high genetic diversity, representing distribution areas of wild elite germplasm.

Beyond breeding elite varieties, wild resources require conservation measures. In situ or ex situ conservation should be implemented in high-diversity regions. Given its wide distribution but small wild populations, ex situ conservation should be prioritized, such as establishing medicinal plant germplasm resource nurseries (banks) focusing on high-diversity populations. Populations with unique haplotypes, including Dandong, Liaoning (C1); Chizhou, Anhui (C7); Nantong, Jiangsu (C8); Kaiyang, Guizhou (C9); Qingdao, Shandong (C10); Yiwu, Zhejiang (C13); Guiyang, Guizhou (C14); Mianyang, Sichuan (C17); and Guyuan, Ningxia (C18), should receive priority protection. Additionally, individuals with special traits should be incorporated into conservation strategies.

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