

Observation of the $\psi(1^3D_2)$ state in $e^+e^- \rightarrow \pi^+\pi^-\gamma\chi_{c1}$ at BESIII (Postprint)

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Abstract

We report the observation of the $X(3823)$ in the process $e^+e^- \rightarrow + - X(3823) \rightarrow + - c\bar{1}$ with a statistical significance of 6.2, in data samples at center-of-mass energies $\sqrt{s}=4.230, 4.260, 4.360, 4.420$ and 4.600 GeV collected with the BESIII detector at the BEPCII electron positron collider. The measured mass of the $X(3823)$ is $(3821.7 \pm 1.3 \pm 0.7)$ MeV/ c^2 , where the first error is statistical and the second systematic, and the width is less than 16 MeV at the 90% confidence level. The products of the Born cross sections for $e^+e^- \rightarrow + - X(3823)$ and the branching ratio $B[X(3823) \rightarrow c\bar{1}, c\bar{2}]$ are also measured. These measurements are in good agreement with the assignment of the $X(3823)$ as the $(13D_2)$ charmonium state.

Full Text

Observation of the $(13D_2)$ State in $e^+e^- \rightarrow + - c\bar{1}$ at BESIII

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Abstract. We report the observation of the X(3823) in the process $e^+e^- \rightarrow \psi(3700) \psi(3700)$ with a statistical significance of 6.2 σ , in data samples at center-of-mass energies $\sqrt{s} = 4.230, 4.260, 4.360, 4.420$ and 4.600 GeV collected with the BESIII detector at the BEPCII electron positron collider. The measured mass of the X(3823) is $3821.7 \pm 0.7(\text{syst})$ MeV/ c^2 , where the first error is statistical and the second systematic, and the width is less than 16 MeV at the 90% confidence level. The products of the Born cross sections for $e^+e^- \rightarrow \psi(3700) X(3823)$ and the branching ratio $X(3823) \rightarrow \psi(3700) \psi(3700)$ are also measured. These measurements are in good agreement with the assignment of the X(3823) as the $(13D2)$ charmonium state.

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Since its discovery, charmonium-meson particles which contain a charm and an anti-charm quark—has been an excellent tool for probing Quantum Chromodynamics (QCD), the fundamental theory that describes the strong interactions between quarks and gluons, in the non-perturbative (low-energy, long-distance effects) regime, and remains of high interest both experimentally and theoretically. All of the charmonium states with masses that are below the open-charm threshold have been firmly established [1, 2]; open-charm refers to mesons containing a charm quark (antiquark) and either an up or down antiquark (quark), such as D or \bar{D} . However, the observation of the spectrum that are above the open-charm threshold remains unsettled. During the past decade, many new charmoniumlike states were discovered, such as the X(3872) [3], the Y(4260) [4, 5] and the Zc(3900) [5-7]. These states provide strong evidence for the existence of exotic hadron states [8]. Although charged charmoniumlike states like the Zc(3900) provide convincing evidence for the existence of multi-quark states [9], it is more difficult to distinguish neutral candidate exotic states from conventional charmonium. Moreover, the study of transitions between charmonium(like) states, such as the $Y(4260) \rightarrow X(3872)$ [10], is an important approach to probe their nature, and the connections between them. Thus, a more complete understanding of the charmonium(like) spectroscopy and their relations is necessary and timely.

The lightest charmonium state above the $D \bar{D}$ threshold is the $\psi(3700)$ [2], which is currently identified as the 1^3D state [1], the $J = 1$ member of the D-wave spin-triplet charmonium states. Until now there have been no definitive observations of its two D-wave spin-triplet partner states, i.e., the 1^3D_1 and 1^3D_2 . Phenomenological models predict that the 1^3D_1 charmonium state has large decay widths to $\psi(3700)$ and $\psi(3700)$ [11]. In 1994, the E705 experiment reported a candidate for the 1^3D_1 state with a mass of 3836 ± 13 MeV/ c^2 and a statistical

significance of 2.8 [12]. Recently, the Belle Collaboration reported evidence for a narrow resonance $X(3823) \rightarrow c\bar{1}$ in B meson decays with 3.8 significance and mass $3823.1 \pm 1.8(\text{stat}) \pm 0.7(\text{syst}) \text{ MeV}/c^2$, and suggested that this is a good candidate for the 1^3D charmonium state [13]. In the following, we denote the 1^3D state as $\psi(3823)$ and the $\psi(3686)$ [$(2S)$] state as $\psi(3686)$.

In this Letter, we report a search for the production of the $\psi(3823)$ state via the process $e^+e^- \rightarrow \psi(3823) + \text{X}$, using 4.67 fb^{-1} data collected with the BESIII detector operating at the BEPCII storage ring [14] at center-of-mass (CM) energies that range from $\sqrt{s} = 4.19$ to 4.60 GeV [15]. The $\psi(3823)$ candidates are reconstructed in their $c\bar{1}$ and $c\bar{2}$ decay modes, with $c\bar{1}, c\bar{2} \rightarrow D^0(e^+e^-)$ ($= e^+e^-$ or D^0). A GEANT4-based [16] Monte Carlo (MC) simulation software package is used to optimize event selection criteria, determine the detection efficiency, and estimate the backgrounds. For the signal process, we generate 40,000 $e^+e^- \rightarrow \psi(3823)$ events at each CM energy indicated above, using an EVTGEN [17] phase space model, with $X(3823) \rightarrow c\bar{1}, c\bar{2}$. Initial state radiation (ISR) is simulated with KKMC [18], where the Born cross section of $e^+e^- \rightarrow \psi(3823)$ between 4.1 and 4.6 GeV is assumed to follow the $e^+e^- \rightarrow \psi(3823)$ lineshape [19]. The maximum ISR photon energy is set to correspond to the 4.1 GeV/ c^2 production threshold of the $\psi(3823)$ system. Final-State-Radiation is handled with PHOTOS [20].

Events with four charged tracks with zero net charge are selected as described in Ref. [6]. Showers identified as photon candidates must satisfy fiducial and shower quality as well as timing requirements as described in Ref. [21]. At least two good photon candidates in each event are required. To improve the momentum and energy resolution and to reduce the background, the event is subjected to a four-constraint (4C) kinematic fit to the hypothesis $e^+e^- \rightarrow \psi(3823) + \text{X}$, that constrains the total four-momentum of the detected particles to the initial four-momentum of the colliding beams. The χ^2 of the kinematic fit is required to be less than 80 (with an efficiency of about 95% for signal events). For multi-photon events, the two photons returning the smallest χ^2 from the 4C fit are assigned to be the radiative photons.

To reject radiative Bhabha and radiative dimuon ($e^+e^-/\mu^+\mu^-$) backgrounds associated with photon conversion, the cosine of the opening angle of the pion-pair candidates is required to be less than 0.98. This restriction removes almost all Bhabha and dimuon background events, with an efficiency loss that is less than 1% for signal events. The background from $e^+e^- \rightarrow J/\psi$ with $J/\psi \rightarrow \mu^+\mu^-$ is effectively rejected by the invariant mass requirement $M(\mu^+\mu^-) > 0.57 \text{ GeV}/c^2$. MC simulation shows that this requirement removes less than 1% of the signal events. In order to remove possible backgrounds from $e^+e^- \rightarrow \text{ISR } J/\psi$, ISR accompanied with a fake photon or a second ISR photon, $e^+e^- \rightarrow \psi(3823) + \text{X}$, the invariant mass of J/ψ is required to satisfy $|M(\mu^+\mu^-) - m(J/\psi)| > 6 \text{ MeV}/c^2$ [22]. The signal efficiency for the J/ψ mass window veto is 85% at $\sqrt{s} = 4.420 \text{ GeV}$ and 99% at other energies.

After imposing the above requirements, there are clear J/ψ peaks in the $M(\mu^+\mu^-)$

invariant mass distributions for the data. The J/ψ mass window is defined as $3.08 < M(\psi) < 3.13 \text{ GeV}/c^2$. The mass resolution is determined to be $9 \text{ MeV}/c^2$ by MC simulation. In order to evaluate non- J/ψ backgrounds, we define J/ψ mass sidebands as $3.01 < M(\psi) < 3.06 \text{ GeV}/c^2$ or $3.15 < M(\psi) < 3.20 \text{ GeV}/c^2$, which are twice as wide as the signal region. The combination of the higher energy photon (H) with the J/ψ candidate is used to reconstruct $c1, c2$ signals, while the lower one is assumed to originate from the $X(3823)$ decay. We define the invariant mass range $3.490 < M(HJ/\psi) < 3.530 \text{ GeV}/c^2$ as the $c1$ signal region, and $3.536 < M(HJ/\psi) < 3.576 \text{ GeV}/c^2$ as the $c2$ signal region [$M(HJ/\psi) = M(H) + m(J/\psi)$].

To investigate the possible existence of resonances that may decay to $c1, c2$, we examine two-dimensional scatter plots of $M_{\text{recoil}}(\psi)$ versus $M(HJ/\psi)$. Here, $M_{\text{recoil}}(\psi) = \sqrt{[(P_{e^+e^-} - P_{\psi})^2]}$ is the recoil mass of the ψ pair, where $P_{e^+e^-}$ and P_{ψ} are the 4-momenta of the initial e^+e^- system and the ψ , respectively. For this, we use the ψ momenta before the 4C fit correction because of the good resolution for low momentum pion tracks, as observed from MC simulation. Figure 1 [Figure 1: see original paper] shows $M_{\text{recoil}}(\psi)$ versus $M(HJ/\psi)$ for data at different energies, where ψ signals are evident in almost all data sets. In addition, event accumulations near $M_{\text{recoil}}(\psi) \approx 3.82 \text{ GeV}/c^2$ are evident in the $c1$ signal regions of the $\sqrt{s} = 4.36$ and 4.42 GeV data sets. A scatter plot of all the data sets combined is shown in Fig. 1(f), where there is a distinct cluster of events near $3.82 \text{ GeV}/c^2$ (denoted hereafter as the $X(3823)$) in the $c1$ signal region.

The remaining backgrounds mainly come from $e^+e^- \rightarrow (\psi/\psi')J/\psi$, with $(\psi/\psi') \rightarrow (\psi/\psi')$. The $e^+e^- \rightarrow (\psi/\psi')J/\psi$ backgrounds can be measured and simulated using the same data sets. The $e^+e^- \rightarrow (\psi/\psi')$ mode can be evaluated with the J/ψ mass sideband data. All these backgrounds are found to be small, and they produce flat contributions to the $M_{\text{recoil}}(\psi)$ mass distribution. There also might be J/ψ and ψ' , but such kind of events would not affect the ψ mass in the $M_{\text{recoil}}(\psi)$ distribution.

An unbinned maximum likelihood fit to the $M_{\text{recoil}}(\psi)$ invariant mass distribution is performed to extract $X(3823)$ signal parameters. The signal shapes are represented by MC-simulated ψ and $X(3823)$ (with input mass of $3.823 \text{ GeV}/c^2$ and a zero width) histograms, convolved with Gaussian functions with mean and width parameters left free in the fit to account for the mass and resolution difference between data and MC simulation, respectively. The background is parameterized as a linear function, as indicated by the J/ψ mass sideband data. The ψ signal is used to calibrate the absolute mass scale and the resolution difference between data and simulation, which is expected to be similar for the $X(3823)$ and ψ . A simultaneous fit with a common $X(3823)$ mass is applied to the data sets with independent signal yields at $\sqrt{s} = 4.230, 4.260, 4.360, 4.420$ and 4.600 GeV (data sets with small luminosities are merged to nearby data sets with larger luminosities), for the $c1$ and $c2$ modes, respectively.

Figure 2 [Figure 2: see original paper] shows the fit results, which return

$M[X(3823)] = M[X(3823)]_{\text{input}} + \Delta = 3821.7 \pm 1.3 \text{ MeV}/c^2$ for the $c1$ mode, where $M[X(3823)]_{\text{input}}$ is the input $X(3823)$ mass in MC simulation, $\Delta = 1.9 \pm 1.3 \text{ MeV}/c^2$ and $\Delta = 3.2 \pm 1.3 \text{ MeV}/c^2$ are the fitted mean values for $X(3823)$ and $c1$ histograms from the fit. The fit yields 19.5 ± 5.3 $X(3823)$ signal events in the $c1$ mode. The statistical significance of the $X(3823)$ signal in the $c1$ mode is estimated to be 6.2 by comparing the difference between the log-likelihood value ($\Delta(\ln L) = 27.5$) with or without $X(3823)$ signal in the fit, and taking the change of the number of degrees of freedom ($\Delta\text{ndf} = 6$) into account, and its value is found to be larger than 5.9 with various systematic checks. For the $c2$ mode, we do not observe an $X(3823)$ signal and provide an upper limit on its production rate (Table I). The limited statistics preclude a measurement of the intrinsic width of $X(3823)$. From a fit using a Breit-Wigner function (with a width parameter that is allowed to float) convolved with Gaussian resolution, we determine $\Gamma[X(3823)] < 16 \text{ MeV}$ at the 90% confidence level (C.L.) (including systematic errors).

The $X(3823)$ is a candidate for the $c1$ charmonium state with $J^{PC} = 2^{--}$ [13]. In the $e^+e^- \rightarrow c1$ process, the $c1$ system is very likely to be dominated by S-wave. Thus, a D-wave between the $c1$ system and $c1$ is expected, with an angular distribution of $1 + \cos^2 \theta$ for $c1$ in the e^+e^- CM frame. Figure 3(a) shows the angular distribution ($\cos \theta$) of $X(3823)$ signal events selected by requiring $3.82 < M_{\text{recoil}}(\theta) < 3.83 \text{ GeV}/c^2$. The inset shows the corresponding $M(\theta)$ invariant mass distribution per $20 \text{ MeV}/c^2$ bin. A Kolmogorov test [23] to the angular distribution gives the Kolmogorov statistic $D^{\text{obs}}_{\text{D}} = 0.217$ for the D-wave hypothesis and $D^{\text{obs}}_{\text{S}} = 0.182$ for the S-wave hypotheses. Due to limited statistics, both hypothesis can be accepted ($D^{\text{obs}}_{\text{D}}, D^{\text{obs}}_{\text{S}} < D_{14,0.1} = 0.314$) at the 90% C.L.

The product of the Born-order cross section and the branching ratio of $X(3823) \rightarrow c1, c2$ is calculated using $\sigma_{\text{B}}[e^+e^- \rightarrow X(3823)] \cdot \text{B}[X(3823) \rightarrow c1, c2] = N^{\text{obs}}_{c1, c2} / (L_{\text{int}} \cdot \epsilon \cdot |1 - \Pi|^2 \cdot (1 + \dots))$, where $N^{\text{obs}}_{c1, c2}$ is the number of $X(3823) \rightarrow c1, c2$ signal events obtained from a fit to the $M_{\text{recoil}}(\theta)$ distribution, L_{int} is the integrated luminosity, ϵ is the detection efficiency, $|1 - \Pi|^2$ is the vacuum polarization factor, and $(1 + \dots)$ is the radiative correction factor, which depends on the lineshape of $e^+e^- \rightarrow X(3823)$. Since we observe large cross sections at $\sqrt{s} = 4.360$ and 4.420 GeV , we assume the $e^+e^- \rightarrow X(3823)$ cross section follows that of $e^+e^- \rightarrow c1$ over the full energy range of interest and use the $e^+e^- \rightarrow c1$ lineshape from published results [19] as input in the calculation of the efficiency and radiative correction factor. The vacuum polarization factor $|1 - \Pi|^2$ is calculated from QED with 0.5% uncertainty [24]. The results of these measurements for the data sets with large luminosities at $\sqrt{s} = 4.230, 4.260, 4.360, 4.420$ and 4.600 GeV are listed in Table I. Since at each single energy data the $X(3823)$ signal is not very significant, upper limits for production cross sections at the 90% C.L. based on the Bayesian method are given [systematic effects are included by convolving the $X(3823)$ signal events yield (n_{yield}) dependent likelihood curves with a Gaussian with mean value zero and standard deviation σ_{sys} , where σ_{sys} is the systematic uncertainty of the efficiencies].

The corresponding production ratio of $\mathcal{B}[e+e \rightarrow X(3823)] \cdot \mathcal{B}[X(3823) \rightarrow c\bar{c}] / \mathcal{B}[e+e \rightarrow \psi(3723)] \cdot \mathcal{B}[\psi(3723) \rightarrow c\bar{c}]$ is also calculated at $\sqrt{s} = 4.360$ and 4.420 GeV.

We fit the energy-dependent cross sections of $e+e \rightarrow X(3823)$ with the $Y(4360)$ shape or the $\psi(4415)$ shape with their resonance parameters fixed to the PDG values [2]. Figure 3(b) shows the fit results, which give $D^{\text{obs}}_{H1} = 0.151$ for the $Y(4360)$ hypothesis (H1) and $D^{\text{obs}}_{H2} = 0.169$ for the $\psi(4415)$ hypothesis (H2), based on the Kolmogorov test. Thus, we accept both the $Y(4360)$ and the $\psi(4415)$ hypotheses ($D^{\text{obs}}_{H1}, D^{\text{obs}}_{H2} < D_{5,0.1} = 0.509$) at the 90% C.L.

The systematic uncertainties in the $X(3823)$ mass measurement include those from the absolute mass scale, resolution, the parameterization of the $X(3823)$ signal, and the background shape. Since we use the $\psi(3723)$ signal to calibrate the fit, we conservatively take the uncertainty of $0.6 \text{ MeV}/c^2$ in the calibration procedure as the systematic uncertainty due to the mass scale. The resolution difference between the data and MC simulation is also estimated by the $\psi(3723)$ signal. Varying the resolution parameter by 1% , the mass difference in the fit is $0.2 \text{ MeV}/c^2$, which is taken as the systematic uncertainty from resolution. In the $X(3823)$ mass fit, a MC-simulated histogram with the width of $X(3823)$ set to zero is used to parameterize the signal shape. We replace this histogram with a simulated $X(3823)$ resonance with a width of 1.7 MeV [13] and repeat the fit; the change in the mass for this fit, $0.2 \text{ MeV}/c^2$, is taken as the systematic uncertainty due to the signal parameterization. Likewise, changes measured with a background shape from MC-simulated (ψ/ψ') events or a second-order polynomial indicate a systematic uncertainty associated with the background shape of $0.2 \text{ MeV}/c^2$ in mass. Assuming that all the sources are independent, the total systematic uncertainty is calculated by adding the individual uncertainties in quadrature, resulting in $0.7 \text{ MeV}/c^2$ for the $X(3823)$ mass measurement. For the $X(3823)$ width, we measure the upper limits with the above systematic checks, and report the most conservative one.

The systematic uncertainties in the cross section measurement mainly come from efficiencies, signal parameterization, background shape, decay model, radiative correction, and luminosity measurement. The luminosity is measured using Bhabha events, with an uncertainty of 1.0%. The uncertainty in the tracking efficiency for high momenta leptons is 1.0% per track. Pions have momenta that range from 0.1 to 0.6 GeV/c, and the momentum-weighted uncertainty is 1.0% per track. In this analysis, the radiative transition photons have energies from 0.3 to 0.5 GeV. Studies with a sample of $\psi(3723) \rightarrow \psi(3723)\gamma$ events show that the uncertainty in the reconstruction efficiency for photons in this energy range is less than 1.0%. The same sources of signal parameterization and background shape as discussed in the systematic uncertainty of $X(3823)$ mass measurement would contribute 4.0% and 8.8% differences in $X(3823)$ signal events yields, which are taken as systematic uncertainties in the cross section measurement. Since the $X(3823)$ is a candidate for the $\psi(3823)$ charmonium state, we try to model

the $e+e \rightarrow X(3823)$ process with a D-wave in the MC simulation. The efficiency difference between D-wave model and three-body phase space is 3.8%, which is quoted as the systematic uncertainty for the decay model. The $e+e \rightarrow X(3823)$ lineshape affects the radiative correction factor and detection efficiency. The radiator function is calculated from QED with 0.5% precision [25]. As discussed above, both $Y(4360)$ lineshapes [19, 26] and the (4415) lineshape describe the cross section of $e+e \rightarrow X(3823)$ reasonably well. We take the difference for $\sigma(1+)$ between $Y(4360)$ lineshapes and the (4415) lineshape as its systematic uncertainty, which is 6.5%. Since the event topology in this analysis is quite similar to $e+e \rightarrow J/\psi$ (3.6%) and $e+e \rightarrow J/\psi$ [10], we use the same systematic uncertainties for the kinematic fit (1.5%) and the J/ψ mass window (1.6%). The uncertainties on the branching ratios for $c1, c2 \rightarrow (0.6\%)$ are taken from the PDG [2]. The uncertainty from MC statistics is 0.3%. The efficiencies for other selection criteria, the trigger simulation [27], the event-start-time determination, and the final-state-radiation simulation are very high ($> 99\%$), and their systematic uncertainties are estimated to be less than 1%. Assuming that all the systematic uncertainty sources are independent, we add all of them in quadrature. The total systematic uncertainty in the cross section measurements is estimated to be 13.8%.

In summary, we observe a narrow resonance, $X(3823)$, through the process $e+e \rightarrow X(3823)$ with a statistical significance of 6.2. The measured mass of the $X(3823)$ is $3821.7 \pm 0.7(\text{syst}) \text{ MeV}/c^2$, where the first error is statistical and the second systematic, and the width is less than 16 MeV at the 90% C.L. Our measurement agrees well with the values found by Belle [13]. The production cross sections of $\sigma_B(e+e \rightarrow X(3823)) \cdot B(X(3823) \rightarrow c1, c2)$ are also measured at $\sqrt{s} = 4.230, 4.260, 4.360, 4.420, \text{ and } 4.600 \text{ GeV}$. The $X(3823)$ resonance is a good candidate for the $(13D2)$ charmonium state. According to potential models [1], the D-wave charmonium states are expected to be within a mass range of 3.82 to 3.85 GeV. Among these, the $1^1D \rightarrow c1$ transition is forbidden due to C-parity conservation, and the amplitude for $1^3D \rightarrow c1$ is expected to be small [28]. The mass of $(13D2)$ is in the 3.810-3.840 GeV/c^2 range that is expected for several phenomenological calculations [29]. In this case, the mass of $(13D2)$ is above the $D \bar{D}$ threshold but below the $D \bar{D}^*$ threshold. Since $(13D2) \rightarrow D \bar{D}$ violates parity, the $(13D2)$ is expected to be narrow, in agreement with our observation, and $(13D2) \rightarrow c1$ is expected to be a dominant decay mode [29, 30]. From our cross section measurement, the ratio $B[X(3823) \rightarrow c2]/B[X(3823) \rightarrow c1] < 0.42$ (where systematic uncertainties cancel) at the 90% C.L. is obtained, which also agrees with expectations for the $(13D2)$ state [30].

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