

## Coherent J/Psi photoproduction in ultra-peripheral PbPb collisions at $\sqrt{s_{NN}} = 2.76$ TeV with the CMS experiment (Postprint)

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### Abstract

Measurements of strange hadron (K0s, Lambda + anti-Lambda, and Xi+ + Xi-) transverse momentum spectra in pp, pPb, and PbPb collisions are presented over a wide range of rapidity and event charged-particle multiplicity. The data were collected with the CMS detector at the CERN LHC in pp collisions at  $\sqrt{s} = 7$  TeV, pPb collisions at  $\sqrt{s[NN]} = 5.02$  TeV, and PbPb collisions at  $\sqrt{s[NN]} = 2.76$  TeV. The average transverse kinetic energy is found to increase with multiplicity, at a faster rate for heavier strange particle species in all systems. At similar multiplicities, the difference in average transverse kinetic energy between different particle species is observed to be larger for pp and pPb events than for PbPb events. In pPb collisions, the average transverse kinetic energy is found to be slightly larger in the Pb-going direction than in the p-going direction for events with large multiplicity. The spectra are compared to models motivated by hydrodynamics.

### Full Text

## Coherent J/ Photoproduction in Ultra-Peripheral PbPb Collisions at $s_{NN} = 2.76$ TeV with the CMS Experiment

### Abstract

The cross section for coherent J/ photoproduction accompanied by at least one neutron on one side of the interaction point and no neutron activity on the other side,  $Xn0n$ , is measured with the CMS experiment in ultra-peripheral PbPb collisions at  $s_{NN} = 2.76$  TeV. The analysis is based on a data sample corresponding to an integrated luminosity of  $159 \mu\text{b}^{-1}$ , collected during the 2011 PbPb run. The J/ mesons are reconstructed in the dimuon decay channel, while neutrons are detected using zero degree calorimeters. The measured

cross section is  $d_{\text{coh}}/dy(J/\psi) = 0.36 \pm 0.04 \text{ (stat)} \pm 0.04 \text{ (syst)}$  mb in the rapidity interval  $1.8 < |y| < 2.3$ . Using a model for the relative rate of coherent photoproduction processes, this  $Xn0n$  measurement gives a total coherent photoproduction cross section of  $d_{\text{coh}}/dy(J/\psi) = 1.82 \pm 0.22 \text{ (stat)} \pm 0.20 \text{ (syst)} \pm 0.19 \text{ (theo)}$  mb. The data strongly disfavor the impulse approximation model prediction, indicating that nuclear effects are needed to describe coherent  $J/\psi$  photoproduction in  $\gamma + \text{Pb}$  interactions. The data are found to be consistent with the leading twist approximation, which includes nuclear gluon shadowing.

## Introduction

Photon-induced reactions occur in ultra-peripheral collisions (UPC) of heavy ions, which involve electromagnetic interactions at impact parameters larger than the sum of the radii of the colliding nuclei. Because of the extremely high UPC photon flux in heavy-ion collisions and the fact that cross sections for photon-nucleus collisions are proportional to  $Z^2$ , where  $Z$  is the charge of the nucleus, photon-nucleus collisions at the LHC are abundant [1-3]. Furthermore, in UPCs the LHC can reach unprecedented photon-lead and photon-proton center-of-mass energies,  $W_{\gamma + \text{Pb}}$  up to 500 GeV in PbPb and  $W_{\gamma + \text{p}}$  up to 1.5 TeV in pPb collisions.

Exclusive  $J/\psi$  photoproduction is defined by the reaction  $\gamma + \text{p} \rightarrow J/\psi + \text{p}$ , with the characteristic features that, apart from the vector meson in the final state, no other particles are produced and the vector meson has a low transverse momentum. Another characteristic feature is that in exclusive photoproduction the quantum numbers of the final state can be studied unambiguously. The  $\gamma + \text{p} \rightarrow J/\psi + \text{p}$  production process has been studied by H1 and ZEUS collaborations at the electron-proton collider HERA [4, 5], by the CDF collaboration in proton-antiproton collisions at the Tevatron [6], and by the ALICE and LHCb collaborations at the LHC, in proton-lead [7] and proton-proton collisions [8], respectively. Since the cross section of photoproduced vector mesons such as  $J/\psi$ ,  $\psi(2S)$ , and  $\Upsilon(nS)$  is proportional to the gluon density squared in the target [9, 10], the study of such diffractive processes in high-energy collisions is expected to provide insights into the role played by gluons in hadronic matter. As an example, a  $J/\psi$  produced at rapidity  $y$  is sensitive to the gluon distribution at  $x = (M_{J/\psi}/\sqrt{s_{\text{NN}}}) e^{\pm y}$  and  $Q^2 = M_{J/\psi}^2/4$  [9, 10]. The relevant values of  $x$  that can be explored at the LHC are in the  $10^{-2}$  to  $10^{-1}$  range.

In ultra-peripheral nucleus-nucleus collisions, vector mesons can be produced in  $\gamma + A$  interactions off one of the nuclei [11-19]. Such interactions are characterized by very low multiplicity, and indeed the majority of such events are exclusive, i.e.  $\gamma + A \rightarrow J/\psi + A$ . The interaction that produces the vector meson is classified as coherent if the photon interacts with the whole nucleus, leaving the nucleus intact. In incoherent interactions, the photon interacts with a single nucleon, and the nucleus breaks apart. The requirement of having coherent photoproduction constrains the transverse momentum distribution of the vector mesons to be of the order of  $p_{\text{T}} \lesssim 60$  MeV for PbPb collisions at  $s_{\text{NN}} = 2.76$

TeV. Since the nucleon radius is smaller than that of the nuclei, the momentum transfer to the vector meson from incoherent photoproduction is higher, of the order of 500 MeV. Such a momentum transfer causes the target nucleus to break up and, in most cases, it produces neutrons at very small angles with respect to the Pb beams (forward neutrons). However, vector mesons produced coherently can also be accompanied by forward neutrons. Owing to the intense electromagnetic fields present in ultra-peripheral nucleus-nucleus collisions, additional independent soft electromagnetic interactions can occur between the nuclei giving rise to forward neutrons. The emission of such neutrons is understood in terms of giant dipole resonances [20].

Ultimately, UPC studies at hadron colliders and similar measurements at the proposed electron-ion colliders [21, 22] are expected to reduce uncertainties in our knowledge of the initial state of a high-energy nucleus-nucleus collision, in particular, regarding the intrinsic distribution and fluctuations of gluons in the nuclei. The uncertainty over the initial state is currently an impediment to measuring fundamental properties of the quark-gluon plasma, such as viscosity, to a high precision [23]. The largest theoretical uncertainty comes from the nuclear distribution function for gluons, which at a given value of the Bjorken variable  $x$  may be depleted (shadowing) or enhanced (anti-shadowing) with respect to the scaled proton distribution function for gluons. These parton distribution functions (PDFs) have been parameterized using global fitting techniques, such as EPS09 [24], that evolve quarks, antiquarks, and gluons as a function of  $Q^2$ . The fitting results from EPS09 have a large uncertainty for  $x < 10^{-2}$  due to the lack of experimental data sensitive to low  $x$  and  $Q^2$ . The data from ultra-peripheral collisions at the LHC have the potential to provide new constraints to both the proton and nuclear PDFs. Recent theoretical work has been carried out to include the study of UPC vector meson photoproduction in global PDF fits [25, 26].

The STAR and PHENIX collaborations at RHIC have studied  $\rho$  and  $J/\psi$  photoproduction in ultra-peripheral AuAu collisions at  $\sqrt{s_{NN}} = 200$  GeV [27, 28]. Although RHIC studies have demonstrated the feasibility of measuring these processes, it was not possible to significantly constrain the nuclear gluon PDFs. The  $J/\psi$  analysis was statistically limited [27], while for UPC  $\rho$  analyses a hard scale cannot be established to perform perturbative QCD calculations. The production rate for UPC physics processes is much higher at the LHC. The ALICE collaboration has measured coherent photoproduction of  $J/\psi$  mesons in ultra-peripheral PbPb collisions at  $\sqrt{s_{NN}} = 2.76$  TeV [29, 30]. These data have been used to compute the nuclear suppression factor  $R = (G_A/A G_N)^2$ , where  $G_A$  and  $G_N$  are the gluon distributions in a nucleus ( $A = 208$  in the case of the Pb nuclei) and in a free proton, respectively, obtaining  $R = 0.61 \pm 0.05$  for  $x \sim 10^{-3}$  [31]. These results have provided evidence that the nuclear gluon density is below that expected for a simple superposition of protons and neutrons in the nucleus [29, 30]. Models that neglect nuclear gluon shadowing (see Section 6 for discussion on the impulse approximation) or models that maximize the gluon shadowing, such as EPS08 [32], have been ruled out by these measurements.

This Letter reports the study of the coherent  $J/\psi$  photoproduction cross section measured in ultra-peripheral PbPb collisions at  $\sqrt{s_{NN}} = 2.76$  TeV, as well as the dependence of this cross section on the associated production of forward or backward neutrons, i.e. on the so-called neutron break-up mode ratios [17]. To focus on events with low backgrounds, following the experience at RHIC [28], the UPC trigger selected events with at least one neutron in either the forward or backward direction from the interaction point using zero degree calorimeters. Using this trigger, both coherent and incoherent  $J/\psi$  mesons and  $\pi^+ \pi^-$  events in conjunction with at least one neutron can be studied. This data sample is then used to measure the cross section for coherent  $J/\psi$  photoproduction accompanied by at least one neutron from soft independent processes. The  $J/\psi$  candidates are reconstructed through the dimuon decay channel in the rapidity interval  $1.8 < |y| < 2.3$ , adding a new rapidity range to recent measurements of coherent  $J/\psi$  photoproduction at the LHC [29, 30].

This paper is organized as follows: Section 2 describes the CMS detector, Section 3 reports on the event selection and analysis strategy, Section 4 describes the signal extraction and corrections, Section 5 summarizes the uncertainties of the measurement, and Section 6 discusses the results. Finally, in Section 7 the summary is given.

## The CMS Detector

The central feature of the CMS apparatus is a superconducting solenoid of 6 m internal diameter, providing a magnetic field of 3.8 T. Within the solenoid volume are a silicon pixel and strip tracker, a lead tungstate crystal electromagnetic calorimeter (ECAL), and a brass and scintillator hadron calorimeter (HCAL), each composed of a barrel and two endcap sections. The silicon tracker measures charged particles within the pseudorapidity range  $|\eta| < 2.5$ . It consists of 1440 silicon pixel and 15,148 silicon strip detector modules and is located in the 3.8 T field of the superconducting solenoid. For nonisolated particles of  $1 < p_T < 10$  GeV and  $|\eta| < 1.4$ , the track resolutions are typically 1.5% in  $p_T$  and 25-90 (45-150)  $\mu\text{m}$  in the transverse (longitudinal) impact parameter [33]. The pseudorapidity coverage for the ECAL and HCAL detectors is  $|\eta| < 3.0$ . Muons are measured using the CMS detector in the pseudorapidity range  $|\eta| < 2.4$ . The muon detection planes are made using three technologies: drift tubes, cathode strip chambers, and resistive plate chambers. Matching muons to tracks measured in the silicon tracker results in a relative transverse momentum resolution for muons with  $20 < p_T < 100$  GeV of 1.3-2.0% in the barrel and better than 6% in the endcaps. The  $p_T$  resolution in the barrel is better than 10% for muons with  $p_T$  up to 1 TeV [34].

The Hadronic Forward (HF) calorimeters ( $3.0 < |\eta| < 5.2$ ) complement the coverage provided by the barrel and endcap detectors. The beam scintillator counters (BSCs) are plastic scintillators that partially cover the face of the HF calorimeters. They have a pseudorapidity range between 3.9 and 4.4. The zero degree calorimeters (ZDCs) are two Cherenkov calorimeters composed of

alternating layers of tungsten and quartz fibers, situated in between the two proton beam lines. They are sensitive to neutrons and photons with  $|\eta| > 8.3$ . The HF, BSC and ZDC systems each consist of two detectors at either side of the interaction point: HF $\pm$ , BSC $\pm$ , ZDC $\pm$ , respectively. A more detailed description of the CMS detector, together with a definition of the coordinate system used and the relevant kinematic variables, can be found in [35].

## Event Selection and Monte Carlo Samples

This analysis uses the data sample collected with the CMS detector in the 2011 PbPb run, which corresponds to an integrated luminosity of  $159 \mu\text{b}^{-1}$ . The events are selected with a dedicated trigger designed to record UPC  $J/\psi$  and  $\psi(2S) \rightarrow \mu^+\mu^-$  events. The UPC trigger has the following requirements: an energy deposit consistent with at least one neutron in either of the ZDCs; low signal in at least one of the BSC+ or BSC- scintillators; the presence of at least one single muon without a  $p_{\text{T}}$  threshold requirement, and at least one track in the pixel detector. The first three trigger requirements are implemented in hardware, while the last requirement is carried out by the software trigger.

To reject beam-gas interactions and suppress non-UPC events the following requirements are imposed offline. The  $z$  position of the primary vertex is required to be within 25 cm of the beam spot centre. The primary vertex is chosen as the one with the largest sum  $p_{\text{T}}^2$ . The length of the pixel clusters must be consistent with tracks originating from this vertex. This requirement removes beam-background events that produce elongated pixel clusters. In addition, the time difference between BSC+ and BSC- hits is required to be consistent with beam-beam interactions. This requirement removes beam-halo events.

As mentioned above, one of the UPC trigger requirements is the presence of at least one neutron. The events studied in this analysis are classified by the pattern of neutron deposition measured in the ZDCs [36-38]. The ZDC energy spectrum shows a clear one neutron peak and the detectors have an energy resolution of about 20% for single neutrons [36-38]. This resolution allows a good separation between events with zero, one, or multiple neutrons in a given ZDC detector. The coherent  $J/\psi$  cross section is measured for the case when the  $J/\psi$  mesons are accompanied by at least one neutron on one side of the interaction point and no neutron activity on the other side (Xn0n). The Xn0n break-up mode, which is conventionally written as  $\text{Pb} + \text{Pb} \rightarrow \text{Pb} + \text{Pb} + J/\psi$  (Xn0n), is a subset of the triggered events. This break-up mode is well suited for rejecting non-UPC background due to its asymmetric configuration [39].

Apart from the Xn0n break-up mode, the UPC trigger also selects the XnXn, 1n0n, and 1n1n break-up modes. The XnXn mode requires that both ZDCs record at least one neutron. The 1n0n mode requires that one of the ZDCs detects exactly one neutron with no neutron activity on the other ZDC side. Finally, the 1n1n mode requires both ZDCs to have exactly one neutron.

In addition to the ZDC requirement, two selections are applied to reject non-

UPC events. First, only events with exactly two reconstructed tracks are kept. Second, the HF cell with the largest energy deposit is required to have an energy below 3.85 GeV. This requirement ensures that the HF energy is consistent with the presence of photon-induced processes which leave very low signal in both the HF+ and HF− detectors.

In this analysis, both muons have to satisfy the quality criteria described below, and must lie within the phase space region  $1.2 < |\eta| < 2.4$  and  $1.2 < p_{\text{T}} < 1.8$  GeV. This phase space region is chosen to ensure good statistical precision on the data-driven measurement of the single-muon efficiency (see Section 4). The CMS collaboration has developed several types of muon identification [34]. In this analysis, all tracks in the silicon tracker that are identified as muons, based on information of the muon detectors, are used. The algorithm extrapolates each reconstructed silicon track outward to its most probable location within each detector of interest (ECAL, HCAL, muon system). This procedure enables the identification of single muons with very low transverse momenta. To reduce additional muons or charged particle tracks that can be misidentified as muons and to ensure good-quality reconstructed tracks, the single muons are required to pass the following criteria: more than 4 hits in the tracker, at least one of which is required to be in a pixel layer, a track fit with a  $\chi^2$  per degree of freedom less than three, and a transverse (longitudinal) impact parameter of less than 0.3 (20) cm from the measured vertex. For this analysis, only events with dimuons having  $p_{\text{T}} < 1.0$  GeV, in the rapidity interval  $1.8 < |y| < 2.3$ , are considered. The dimuon candidates are required to be within the invariant mass region  $2.6 < m(\mu\mu) < 3.5$  GeV. No like-sign dimuon pairs are found in this region. Applying the muon quality requirements, after all other analysis selections, only rejects one dimuon candidate out of 518 events.

In order to compute acceptance and efficiency corrections and for signal extraction purposes, Monte Carlo (MC) samples for coherent  $J/\psi$ , incoherent  $J/\psi$  and  $\psi(2S)$  events in the dimuon decay channel are generated, using the STARLIGHT MC event generator [14, 40, 41]. These events are processed with the full CMS simulation and reconstruction software. The STARLIGHT generator models two-photon and photon-hadron interactions at ultra-relativistic energies. In the case of photon-nuclear reactions, it models both coherent and incoherent events using the generalized vector meson dominance model. It uses the classical Glauber approach for estimating the number of interacting nucleons, and makes use of exclusive  $J/\psi$  photoproduction in  $\gamma + p$  results from HERA to compute the coherent  $J/\psi$  cross section in  $\gamma + \text{Pb}$  interactions [14]. The STARLIGHT generator is also used to simulate the various break-up modes for one or both Pb nuclei, which assumes that the probabilities for exchange of multiple photons in a single event factorize in impact parameter space [42].

## Signal Extraction and Corrections

After applying the selections described in Section 3, the dimuon invariant mass and  $p_{\text{T}}$  distributions are simultaneously fitted in order to extract the number

of coherent  $J/\psi$ , incoherent  $J/\psi$ , and  $\psi(2S) \rightarrow J/\psi + \psi(2S)$  events. The fit uses a maximum likelihood algorithm that takes unbinned projections of the data in invariant mass and  $p_{\text{T}}$  as inputs. The shapes of the  $p_{\text{T}}$  distributions for these three processes are determined from STARLIGHT simulation. The yield for each of these processes in the  $p_{\text{T}}$  distribution is a free parameter of the fit. The dimuon invariant mass distribution of the sum of coherent and incoherent  $J/\psi$  events is described with a Crystal Ball function [43], which accounts for the detector resolution as well as the radiative tail from internal bremsstrahlung. A second-order polynomial accounts for the underlying dimuon continuum that originates from  $\psi(2S) \rightarrow J/\psi + \psi(2S)$  events. The fit has nine free parameters: three for the yields of each of the processes, two for the shape of the Crystal Ball function tail, two for the mean and width of the Crystal Ball function, and two parameters for the shape of the second-order polynomial. The fit constrains the number of coherent  $J/\psi$ , incoherent  $J/\psi$ , and dimuon continuum events to be the same in the invariant mass and  $p_{\text{T}}$  distributions.

The projections of the  $X_{n0n}$  break-up data onto the dimuon invariant mass and  $p_{\text{T}}$  axes are shown in Fig. 1 [Figure 1: see original paper]. As discussed in Section 1, the average  $p_{\text{T}}$  distribution for the coherent events is peaked at lower  $p_{\text{T}}$  values than those from incoherent events. Reconstructed coherent  $J/\psi$  events are dominant for  $p_{\text{T}} < 0.15$  GeV, whereas reconstructed incoherent  $J/\psi$  events are dominant for  $p_{\text{T}} > 0.15$  GeV. The fit yields  $207 \pm 18$  (stat) for the coherent  $J/\psi$  candidates,  $75 \pm 13$  (stat) for incoherent  $J/\psi$  events and  $75 \pm 13$  (stat) for  $\psi(2S) \rightarrow J/\psi + \psi(2S)$  events with  $p_{\text{T}} < 0.15$  GeV in the rapidity interval  $1.8 < |y| < 2.3$ .

The combined acceptance ( $A$ ) and efficiency ( $\epsilon$ ) correction factor for  $J/\psi$  events in the  $X_{n0n}$  break-up mode,  $(A)_{J/\psi}$ , is  $5.9 \pm 0.5$  (syst)%. The 8% systematic uncertainty on the corrections are described in Section 5. Two factors contribute to the  $(A)_{J/\psi}$ : 1) the product of acceptance multiplied by the offline reconstruction efficiency and 2) the trigger efficiency ( $\epsilon_{\text{trig}}$ ). The first term is measured to be  $12.0 \pm 0.5$  (syst)%. It is obtained from both data and MC simulations. The STARLIGHT generator is used as an input to the full GEANT4 [44] simulation of the CMS detector. This simulation is used to model the efficiency for all of the selections except the HF and the muon quality requirements. Zero bias data are used to compute the efficiency of the HF requirement, while the UPC data are used to compute the efficiency of the muon quality requirements. The offline selection discussed above is applied, but the trigger requirement is not demanded at this stage of the efficiency calculation.

The UPC trigger efficiency  $\epsilon_{\text{trig}}$  for events passing the event selection is  $49.5 \pm 3.5$  (syst)%. This is computed by taking the product of the efficiencies of the individual components:  $\epsilon_{\text{trig}} = \epsilon_{\text{ZDC}} \times \epsilon_{\text{pixel-track}} \times \epsilon_{\text{BSC}} \times \epsilon_{\text{dimuon}}$ . Because these trigger components are uncorrelated to each other they are measured separately. The  $\epsilon_{\text{dimuon}}$  term is measured to be  $0.71 \pm 0.02$  (syst) from the analysis of the UPC data using the “tag-and-probe” method [34] in which coherent  $J/\psi$  candidates are reconstructed for a wider kinematic range than in

the analysis. Two different methods to compute  $\epsilon_{\text{dimuon}}$  are studied corresponding to two different background parametrization. Since both methods give consistent results within the statistical uncertainty, the  $\epsilon_{\text{dimuon}}$  systematic uncertainty is found to be at the 2-3% level. The other components of the trigger efficiency do not require the reconstruction of coherent  $J/\psi$  candidates and they are measured separately using control triggers:  $\epsilon_{\text{ZDC}} = 0.91 \pm 0.03$  (syst),  $\epsilon_{\text{pixel-track}} = 0.76 \pm 0.03$  (syst), and  $\epsilon_{\text{BSC}}$  is fully efficient. The systematic uncertainty for the acceptance and efficiency correction is discussed in the following section.

## Systematic Uncertainties and Cross-Checks

The systematic uncertainties are summarized in Table 1 and can be divided into three groups. The first group corresponds to the systematic uncertainty due to the signal extraction (5%). The second group corresponds to the acceptance times efficiency correction (8% after combining the uncertainties on the neutron detection efficiency, HF energy requirement, MC correction, ZDC trigger efficiency, and  $J/\psi$  reconstruction efficiency). The third group corresponds to the uncertainty in the luminosity determination (5%) and in the branching ratio (1%). The individual uncertainties are summarized below.

1. The uncertainty in the signal extraction is found to be 5%. To estimate this uncertainty, the fitting functions used to describe the invariant mass distribution of the  $J/\psi$  and the continuum are changed to a Gaussian or Landau distribution, respectively. Also the mass region used for the signal extraction is changed to  $2.4 < m(\psi) < 8.0$  GeV. The systematic uncertainty is provided by the maximum variation of the results.
2. The uncertainty in the neutron detection efficiency is found to be 6%. This uncertainty is mainly due to the presence of low-frequency noise in the readout and is estimated by comparing results from two different reconstruction algorithms. For each event the ZDC signal is recorded in 10 time slices of 25 ns each. The standard reconstruction method uses the difference between the signal in the main time slice and the following one. This differentiation suppresses the low-frequency noise. The alternative method estimates the noise from time slices before the main signal.
3. The uncertainty associated with the HF energy requirement is found to be 2%. To estimate this uncertainty, the HF energy limit is decreased from 3.85 to 2.95 GeV, changing the limit from keeping 99% of the electronic noise events to 95%. Also, the definition of the HF energy requirement is varied by using the signal from groups of calorimeter cells known as towers, instead of the individual cells. The  $\psi$  symmetry of the calorimeters is checked by defining separate limits for HF+ and HF- for both individual cells and towers. The analysis is repeated for each case and the root-mean-square of the final number of signal candidates is used to estimate the systematic uncertainty associated with this requirement.

4. The uncertainty in the MC acceptance corrections is found to be 1%. This is estimated by varying the  $p_{\text{T}}$  and rapidity shapes ( $\pm 30\%$  away from the mean distribution) used to produce these corrections. As shown in Section 4, STARLIGHT reproduces the  $p_{\text{T}}$  shape for the various processes very well. The shape of the  $p_{\text{T}}$  distributions reflects the radial distribution of the charge within the nucleus, which has little uncertainty.
5. The uncertainty for the ZDC component of the UPC trigger is found to be 3%. This is estimated by using dedicated monitoring triggers.
6. The uncertainty for the  $J/\psi$  reconstruction efficiency is found to be 4%. This is computed using the track reconstruction efficiency uncertainty that is found to be 1-2% [45].
7. The uncertainty of the integrated luminosity determination is estimated to be 5%, based on the analysis of data from van der Meer scans [46].
8. The uncertainty in the branching fraction for  $J/\psi$  decay into muons is 1% [47].

These individual systematic uncertainties are added in quadrature resulting in a total systematic uncertainty of 11% for the coherent  $J/\psi$  cross section in the  $Xn0n$  configuration.

As an additional cross-check of the overall analysis, the  $\psi(2S)$  process is studied. As discussed in Section 4, the resulting yield of  $\psi(2S)$  events in the  $2.6 < m(\mu^+\mu^-) < 3.5$  GeV mass interval is  $N_{\psi(2S)} = 75.2 \pm 12.7$  (stat)  $\pm 8.3$  (syst), while the measured cross section is  $44.2 \pm 1.8$  (stat)  $\pm 0.40$  (syst)  $\mu\text{b}$ . This result is consistent with the QED calculation provided by the STARLIGHT MC at the one standard deviation level. The  $\psi(2S) \rightarrow \mu^+\mu^-$  cross section in the dimuon mass range 4 to 8 GeV (not shown) is also found to be in agreement with the STARLIGHT prediction within one standard deviation, when considering the statistical and systematic uncertainties.

## Results and Comparison to Theoretical Models on Photonuclear Interactions

For the  $Xn0n$  break-up mode, the coherent  $J/\psi$  cross section in the dimuon decay channel is given by:

$$\frac{d\sigma_{\text{coh}}(J/\psi)}{dy} = \frac{N_{\text{yield}}}{B(J/\psi \rightarrow \mu^+\mu^-) \times L_{\text{int}} \times \Delta y \times (A\varepsilon)_{J/\psi}}$$

where  $B(J/\psi \rightarrow \mu^+\mu^-) = 5.93 \pm 0.06$  (syst)% is the branching fraction of  $J/\psi$  to dimuons [47],  $N_{\text{yield}}$  is the coherent  $J/\psi$  yield of prompt  $J/\psi$  candidates for  $p_{\text{T}} < 0.15$  GeV,  $L_{\text{int}} = 159 \pm 8$  (syst)  $\mu\text{b}^{-1}$  is the integrated luminosity,  $\Delta y = 1$  is the rapidity bin width, and  $(A\varepsilon)_{J/\psi} = 5.9 \pm 0.5$  (stat)% is the combined acceptance times efficiency correction factor as discussed in Section 4.

The coherent  $J/\psi$  yield of prompt  $J/\psi$  candidates is given by  $N_{\text{yield}}/(1 + f_{\text{D}})$ , where  $N_{\text{yield}}$  is the coherent  $J/\psi$  yield as extracted from the fit shown in Fig. 1, and  $f_{\text{D}}$  is the fraction of  $J/\psi$  mesons coming from coherent  $(2S) \rightarrow J/\psi + \text{anything}$ . As mentioned in Section 4,  $N_{\text{yield}} = 207 \pm 18$  (stat) for coherent  $J/\psi$  candidates with  $p_{\text{T}} < 0.15$  GeV in the rapidity interval  $1.8 < |y| < 2.3$ . There are not enough data to perform a coherent  $(2S)$  analysis, so the feed-down correction has to rely on MC simulations. In order to calculate  $f_{\text{D}}$ , coherent  $(2S)$  events are simulated using STARLIGHT, while PYTHIA is used to simulate the  $(2S)$  decay into the  $J/\psi$  [29, 30] obtaining  $f_{\text{D}} = 0.018 \pm 0.011$  (theo). The theoretical uncertainty of 60% in  $f_{\text{D}}$  is obtained from [29, 30]. The resulting coherent  $J/\psi$  yield for prompt  $J/\psi$  candidates is  $N_{\text{yield}}/(1+f_{\text{D}}) = 203 \pm 18$  (stat). Thus, the coherent  $J/\psi$  photoproduction cross section for prompt  $J/\psi$  mesons in the  $Xn0n$  break-up mode is  $d_{\text{coh}}/dy(J/\psi) = 0.36 \pm 0.04$  (stat)  $\pm 0.04$  (syst) mb.

Although the  $d_{\text{coh}}/dy(J/\psi)$  measurement is interesting in its own right [17], it is also relevant to compare our results to the theoretical predictions and recent results from the ALICE collaboration [29, 30] that are available for the total coherent  $J/\psi$  cross section. As mentioned in Section 3, one of the UPC trigger requirements is the presence of at least one forward neutron. For this reason it is not possible to scale the measured coherent  $J/\psi$  cross section in the  $Xn0n$  break-up mode to the total cross section using our own data. However, as mentioned in Section 3, STARLIGHT can simulate coherent vector meson photoproduction in the various break-up modes for one or both Pb nuclei. The STARLIGHT MC generator is found to give a good description of the break-up ratios on coherent  $J/\psi$  photoproduction measured by STAR [27] and ALICE [42]. In addition, STARLIGHT gives a good description of the break-up ratios measured in this analysis. We measure the ratios of the coherent  $J/\psi$  cross section in two different break-up modes ( $XnXn$  and  $1n1n$ ) to that of the  $Xn0n$  mode for  $J/\psi$  events with  $p_{\text{T}} < 0.15$  GeV and in the rapidity interval  $1.8 < |y| < 2.3$ . The measured break-up ratios are  $0.36 \pm 0.04$  (stat) for  $XnXn/Xn0n$  and  $0.03 \pm 0.01$  (stat) for  $1n1n/Xn0n$ , while the STARLIGHT prediction is  $0.37 \pm 0.04$  (theo) and  $0.020 \pm 0.002$  (theo), respectively. These ratios are the measured fraction of the total coherent  $J/\psi$  candidates in the  $Xn0n$  break-up mode that are either  $XnXn$  or  $1n1n$ . These ratios are also compatible with the extracted  $J/\psi$  yield for each break-up configuration, determined with the signal extraction procedure described in Section 4. Only statistical uncertainties in the measured break-up ratios are given since these dominate over the systematic uncertainties. The feed-down correction from  $(2S)$  decays is not applied for these ratios since this contribution is expected to cancel out in the ratio. The 10% uncertainty quoted in the STARLIGHT prediction for the break-up mode scaling factors is based on recent results on UPC  $J/\psi$  photoproduction from the ALICE collaboration [42]. Note that the neutron break-up theoretical description is independent of whether a  $J/\psi$  or a  $\psi'$  is produced [41, 42]. The scaling factor between the  $Xn0n$  break-up mode and the total cross section is  $5.1 \pm 0.5$  (theo). After applying this scaling factor we obtain the total coherent  $J/\psi$  photoproduction cross section

$$d_{\text{coh}}/dy(J/\psi) = 1.82 \pm 0.22 (\text{stat}) \pm 0.20 (\text{syst}) \pm 0.19 (\text{theo}) \text{ mb.}$$

In Fig. 2 [Figure 2: see original paper], the coherent  $J/\psi$  photoproduction cross section is compared to recent ALICE measurements [29, 30], to calculations by Guzey et al. [18, 31] based on the impulse approximation, and to results obtained using the leading twist approximation (see below). The data from ALICE and CMS show a steady decrease with rapidity.

The leading twist approximation prediction is obtained from Ref. [18] and is in good agreement with the data. It is a calculation at the partonic level that uses a diffractive proton PDF as an input and implements a gluon recombination mechanism within the leading twist approximation result. This results in an effective nuclear gluon shadowing. The theoretical uncertainty band for the leading twist approximation result shown in Fig. 2 is 12% and is due to the uncertainty in the strength of the gluon recombination mechanism. This uncertainty is uncorrelated with the photon flux uncertainty. The nuclear gluon distribution uncertainty is largest at mid-rapidity where  $x \sim 10^{-3}$  in the nuclear gluon distribution. At forward rapidity there is a two-fold ambiguity about the photon direction but the measurements are mostly sensitive to  $x \sim 10^{-2}$  [29].

The data are also compared to the impulse approximation result that uses data from exclusive  $J/\psi$  photoproduction in  $p + p$  interactions to estimate the coherent  $J/\psi$  cross section in  $p + \text{Pb}$  collisions. By using  $p + p$  data, the impulse approximation calculation neglects all nuclear effects such as the expected modification of the gluon density in the lead nuclei compared to that of the proton. This calculation overpredicts the CMS measurement by more than 3 standard deviations in the rapidity interval  $1.8 < |y| < 2.3$ , when adding the experimental and theoretical uncertainties in quadrature.

The impulse approximation calculation is derived from the product of two quantities: the elastic nuclear form factor  $F_A(t)$  and the differential cross section  $d/dt$  of  $p + p \rightarrow J/\psi + p$ , where  $t$  is the momentum transfer from the target nucleus squared. The  $F_A(t)$  is the Fourier transform of the matter density  $\rho(t)$ , while the elementary cross section  $d/dt$  has been measured by various collaborations [4-8], as described in Section 1. The impulse approximation result shown in Fig. 2 is performed by Guzey et al. using the methods they describe in Ref. [31] with a pQCD motivated parametrization [48] of exclusive  $J/\psi$  data in  $p + p$  interactions which incorporates very recent LHC results [7, 8]. Thus, in the impulse approximation there is an experimental uncertainty associated to fitting the measured elementary cross section data to the parametrization [48] and this uncertainty is at the 4% level for the relevant photon-proton center-of-mass energies discussed in this analysis. In addition, there are two theoretical uncertainties in the impulse approximation calculation. The first theoretical uncertainty is due to the matter density distribution and is estimated to be 5% based on studies of several matter distribution densities [31]. The second theoretical uncertainty is due to the uncertainty in the photon flux and is estimated to be 5%. This is dominated by the treatment of the photon flux factor for the case when the PbPb collisions take place at small impact parameters  $2R_A$ .

These two uncertainties are correlated and so to be conservative the combined theoretical uncertainty is taken to be 10%. The data are also consistent with the central value of the EPS09 global fit from 2009 (not shown), which has large uncertainties [24]. Other calculations of the coherent  $J/\psi$  cross section are not considered because the theoretical uncertainties are not available.

## Summary

The coherent  $J/\psi$  photoproduction cross section in ultra-peripheral PbPb collisions at  $s_{NN} = 2.76$  TeV, in conjunction with at least one neutron on one side of the interaction point and no neutron activity on the other side, is measured to be  $d_{coh}/dy(J/\psi) = 0.36 \pm 0.04$  (stat)  $\pm 0.04$  (syst) mb in the rapidity interval  $1.8 < |y| < 2.3$ . This measurement is extrapolated to the total coherent  $J/\psi$  cross section, resulting in  $d_{coh}/dy(J/\psi) = 1.82 \pm 0.22$  (stat)  $\pm 0.20$  (syst)  $\pm 0.19$  (theo) mb in the measured rapidity interval. These results complement recent measurements on coherent  $J/\psi$  photoproduction in ultra-peripheral PbPb collisions at  $s_{NN} = 2.76$  TeV by the ALICE collaboration. An impulse approximation model prediction is strongly disfavored, indicating that nuclear effects expected to be present at low  $x$  and  $Q^2$  values are needed to describe the data. The prediction given by the leading twist approximation, which includes nuclear gluon shadowing, is consistent with the data.

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## The CMS Collaboration

*See Appendix A for the list of collaboration members*

*Note: Figure translations are in progress. See original paper for figures.*

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